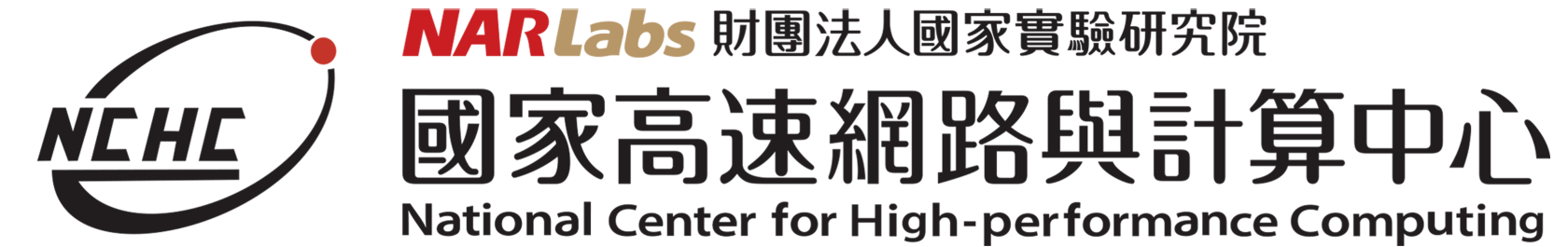


# Hopes and challenges in modern planet formation

Min-Kai Lin

November 2022





# We are not alone

**30%**  
**GAS GIANT**

The size of Saturn or Jupiter (the largest planet in our solar system), or many times bigger. They can be hotter than some stars!

**31%**  
**SUPER-EARTH**

Planets in this size range between Earth and Neptune don't exist in our solar system. Super-Earths, a reference to larger size, might be rocky worlds like Earth, while mini-Neptunes are likely shrouded in puffy atmospheres.



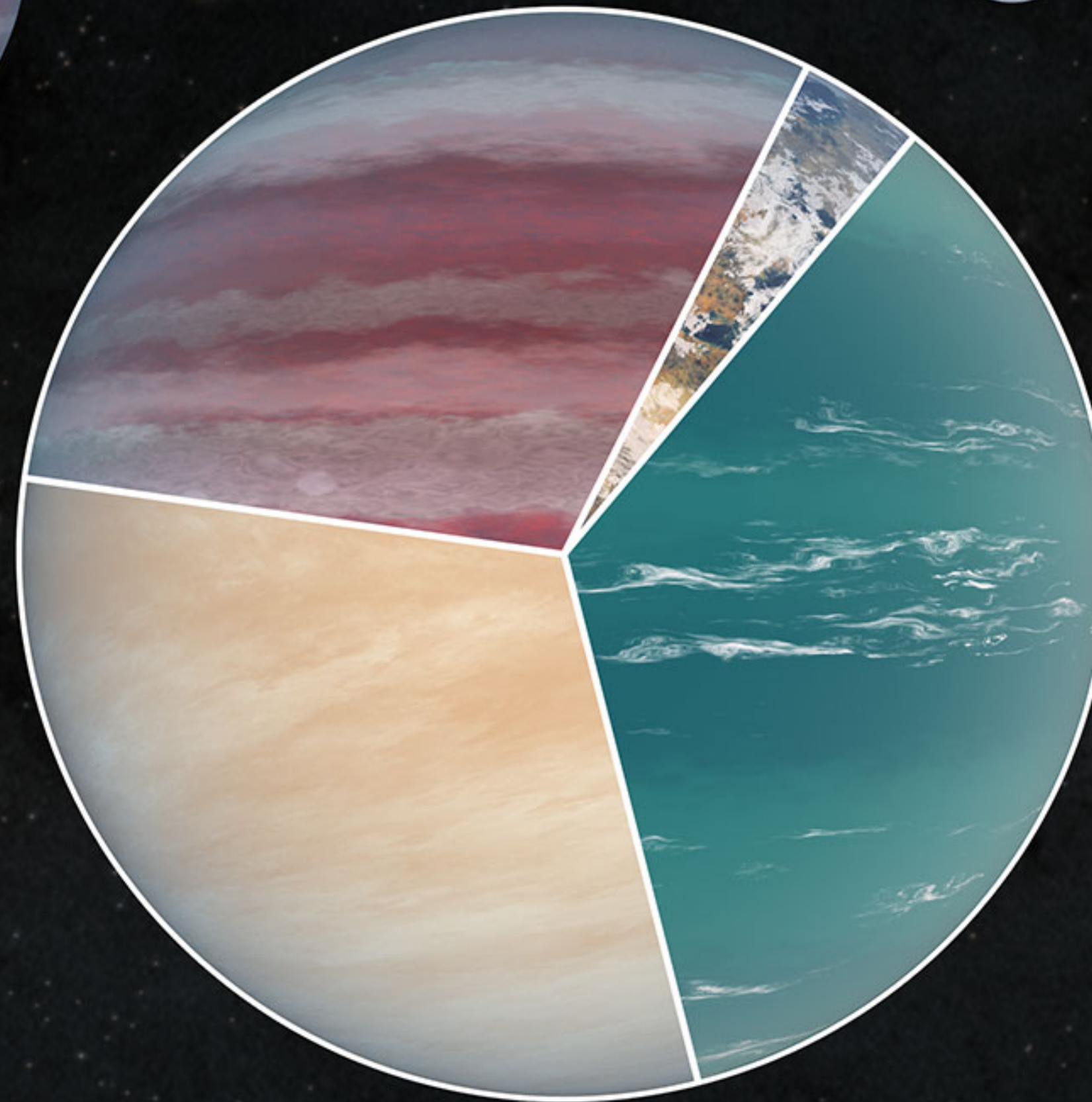
**4%**  
**TERRESTRIAL**

Small, rocky planets. Around the size of our home planet, or a little smaller.



**35%**  
**NEPTUNE-LIKE**

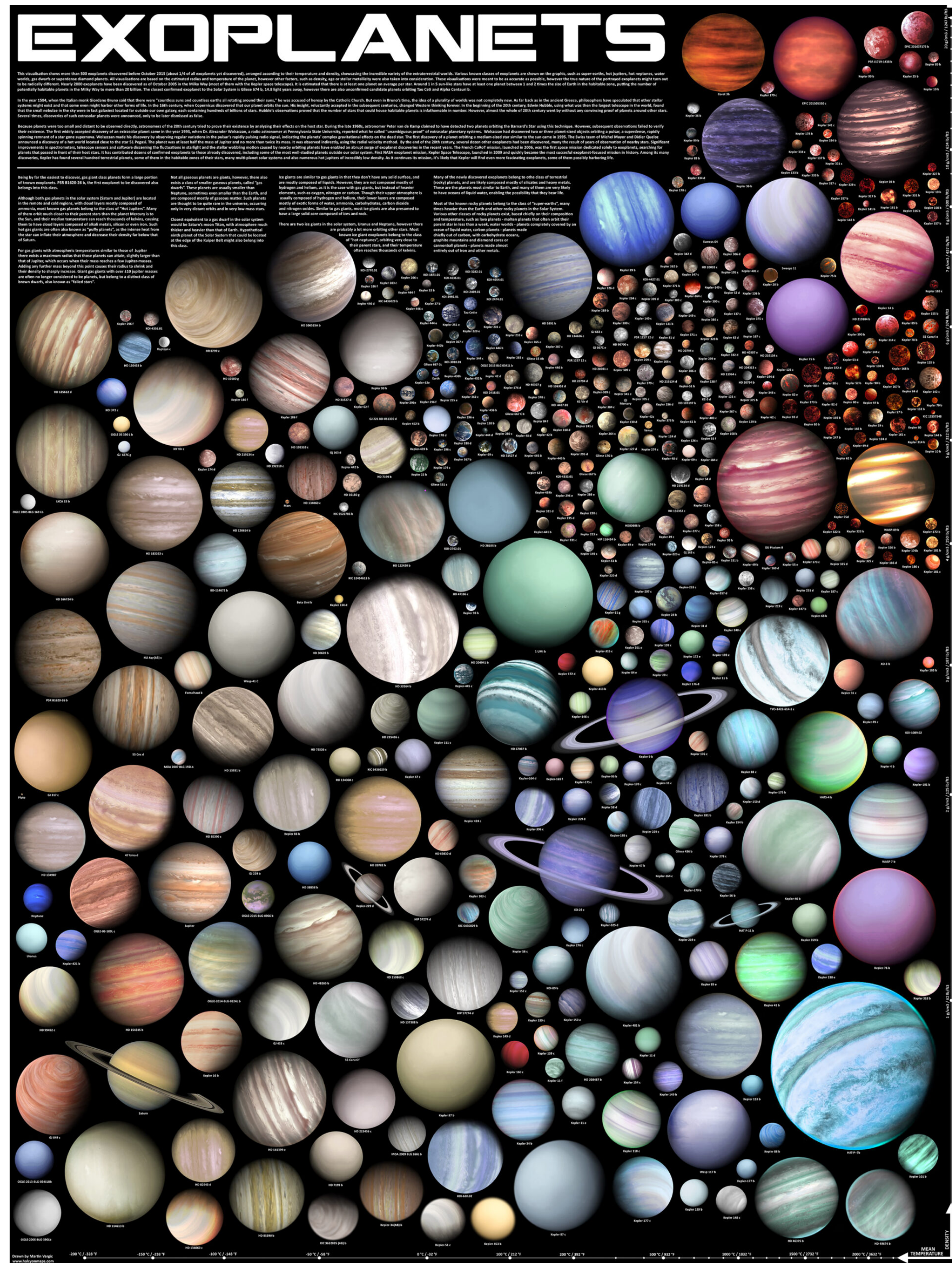
Similar in size to Neptune and Uranus. They can be ice giants, or much warmer. "Warm" Neptunes are more rare.



**5000+**  
**PLANETS FOUND**



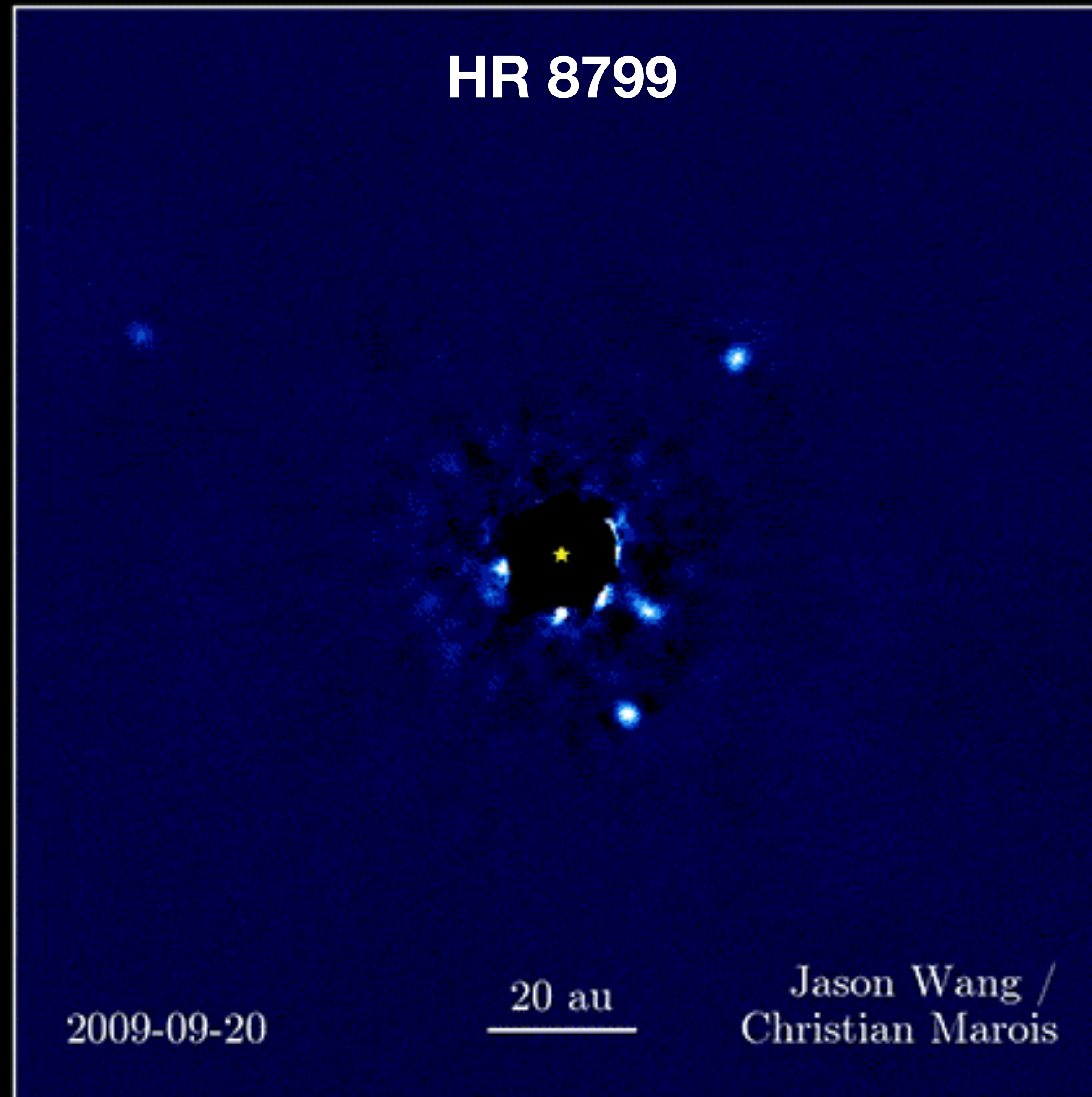
# We are not alone



## Credit: Martin Vargic

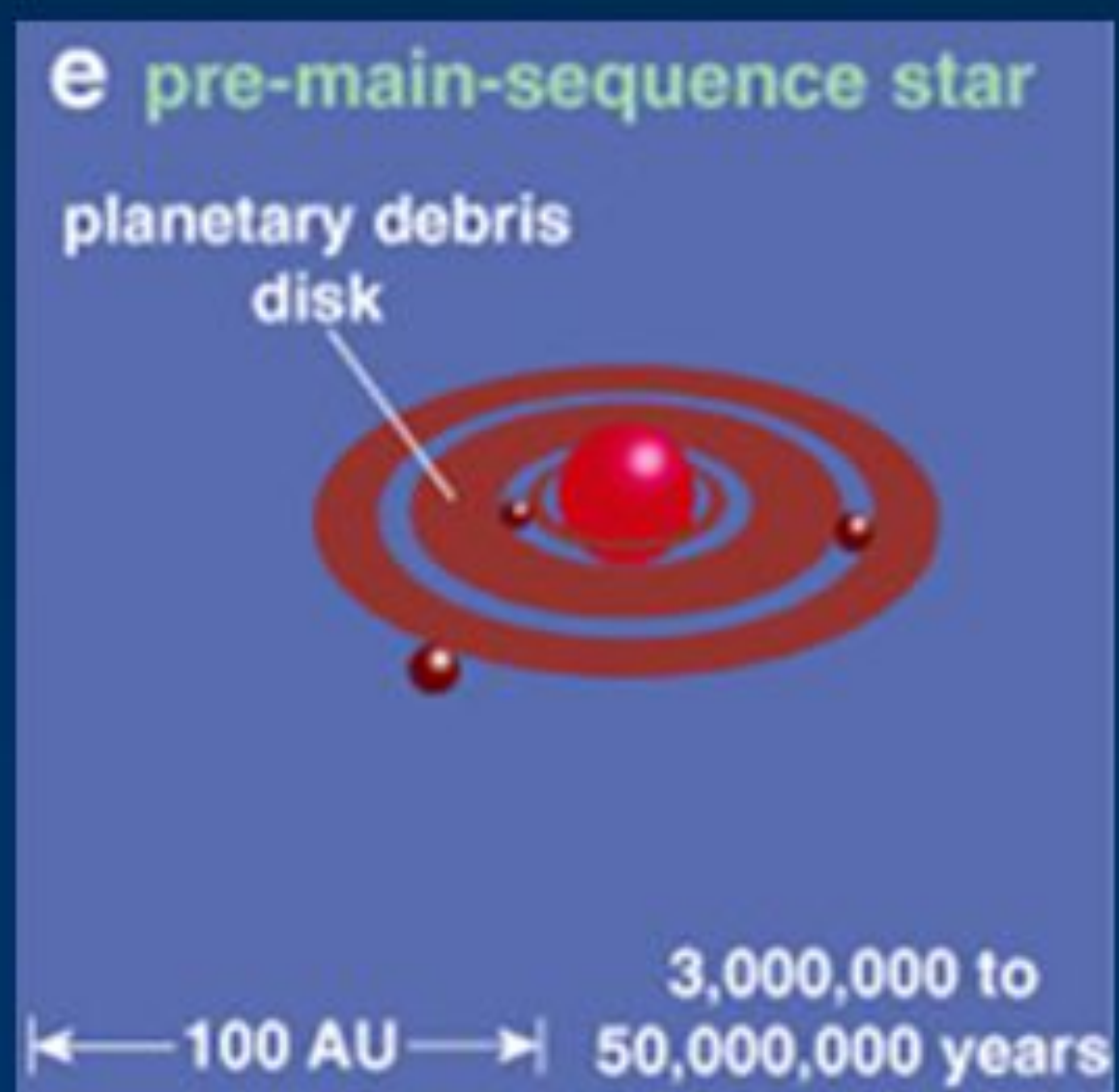
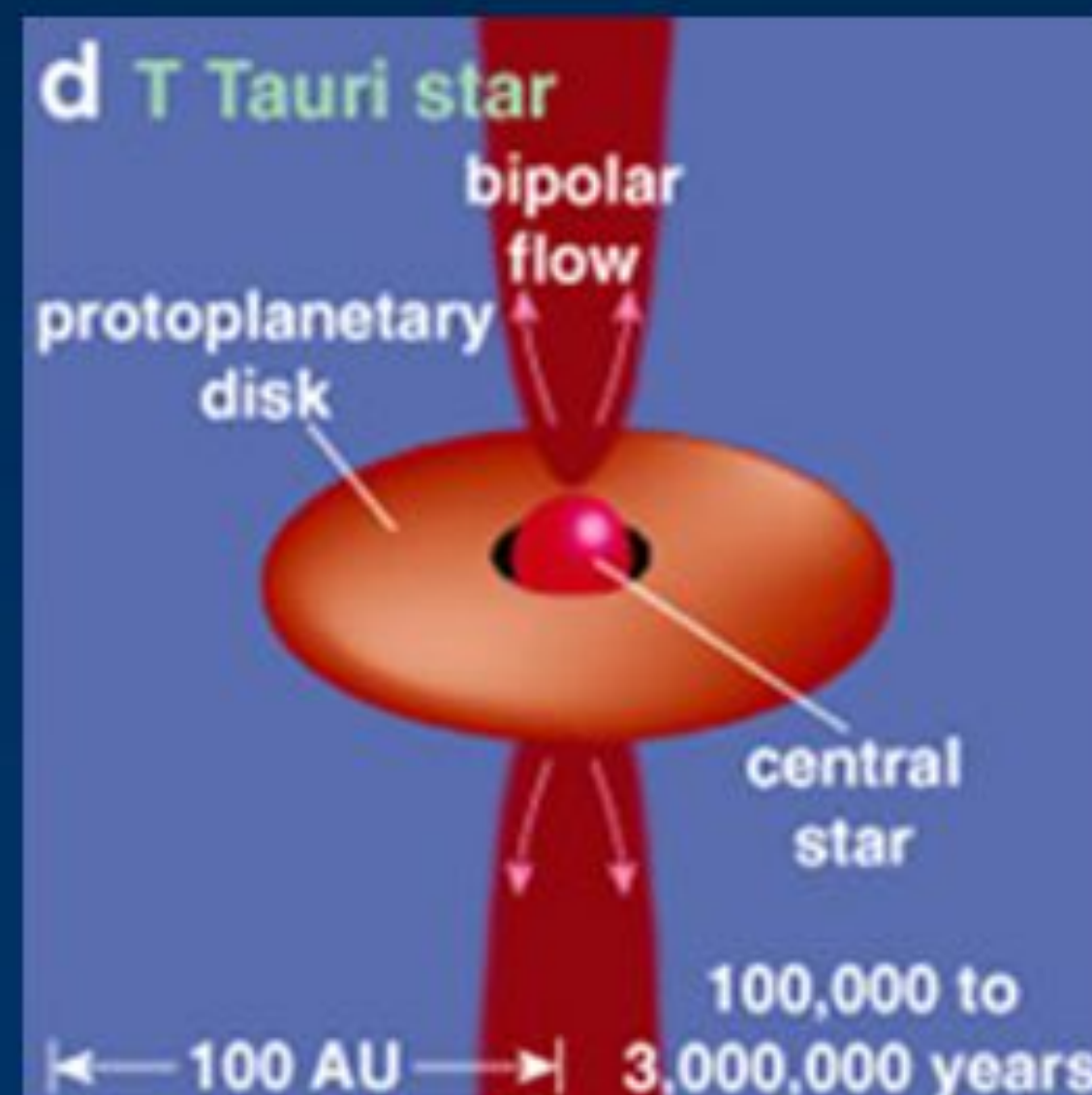
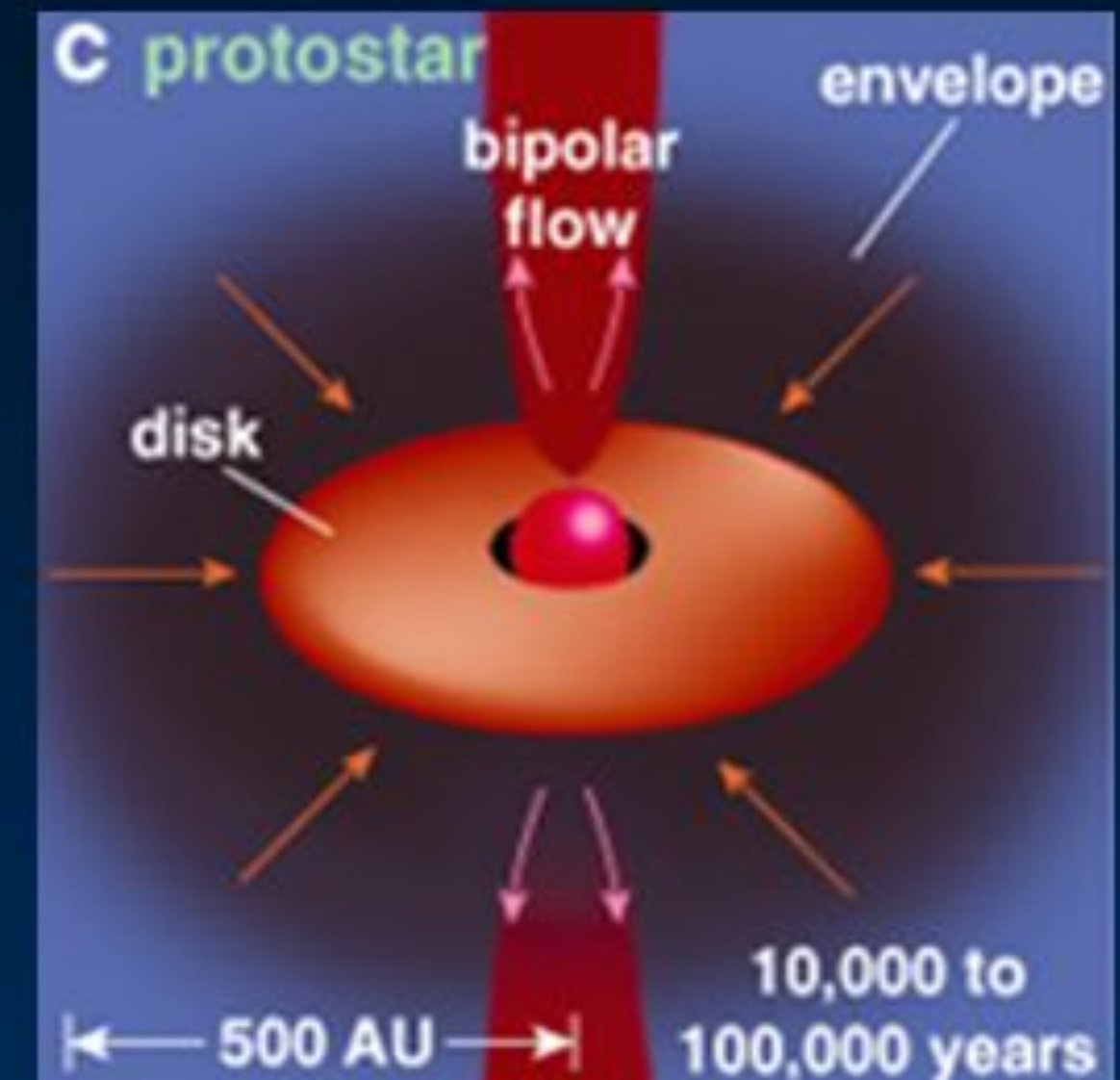
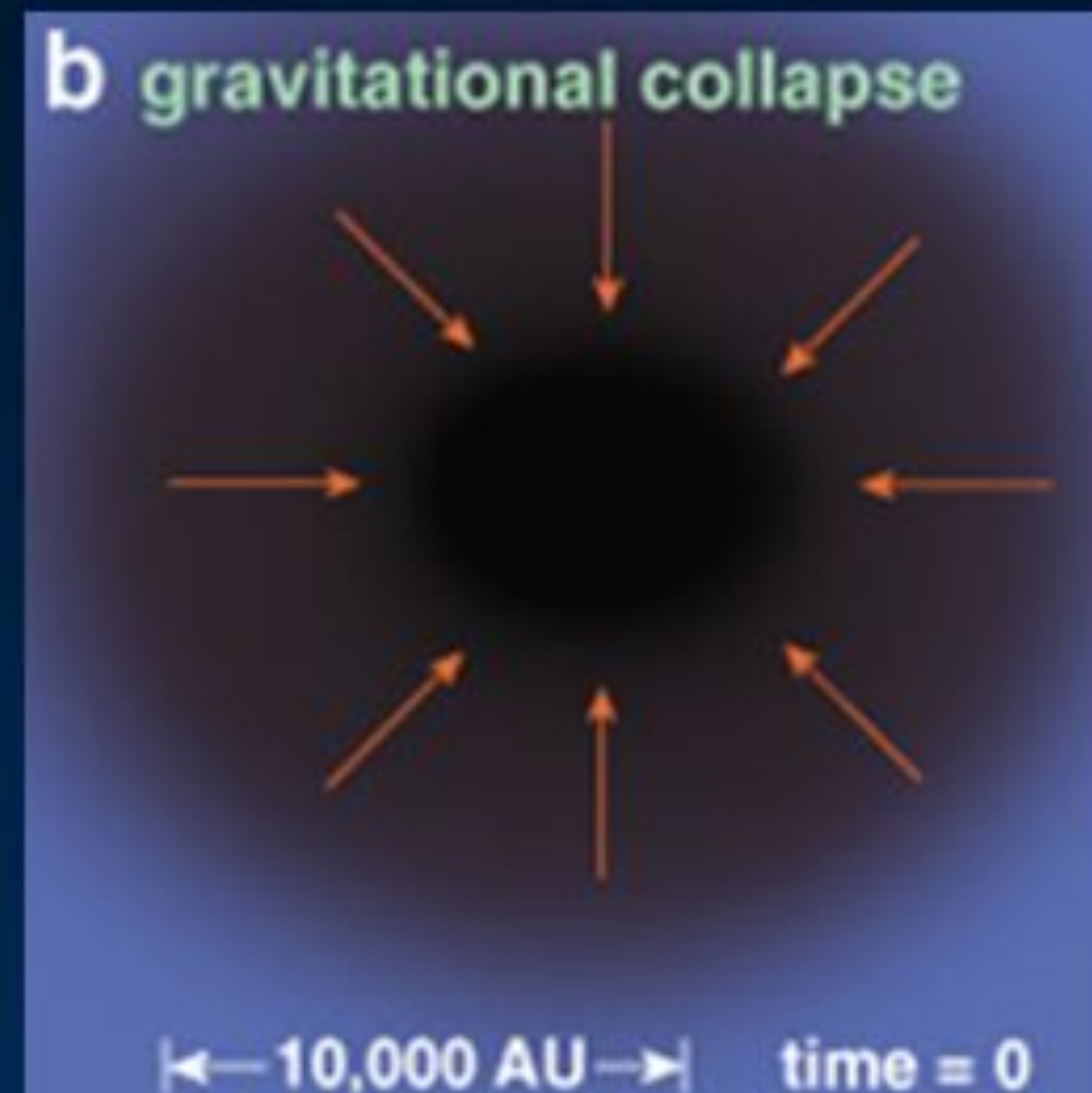
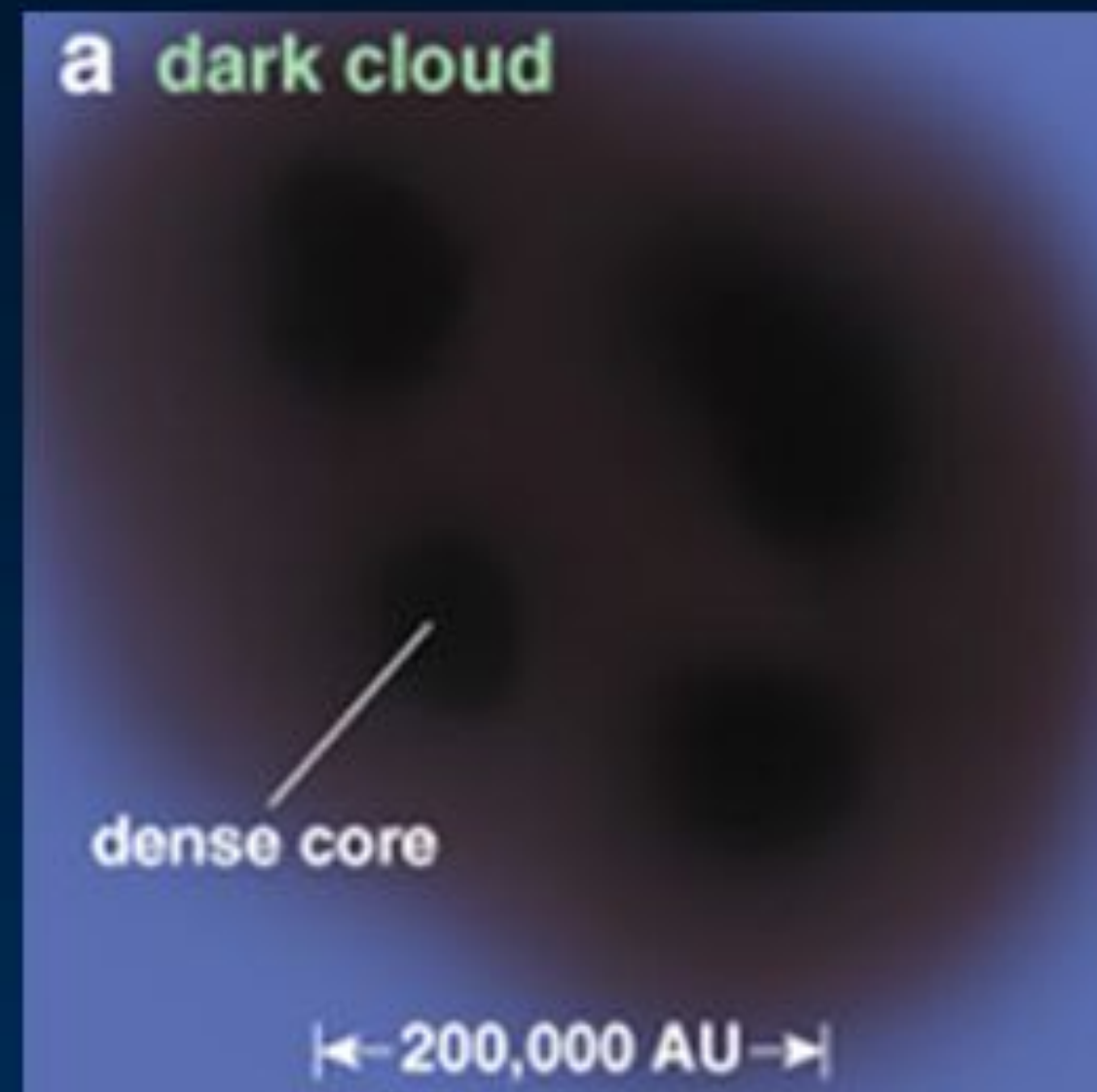


# HR 8799



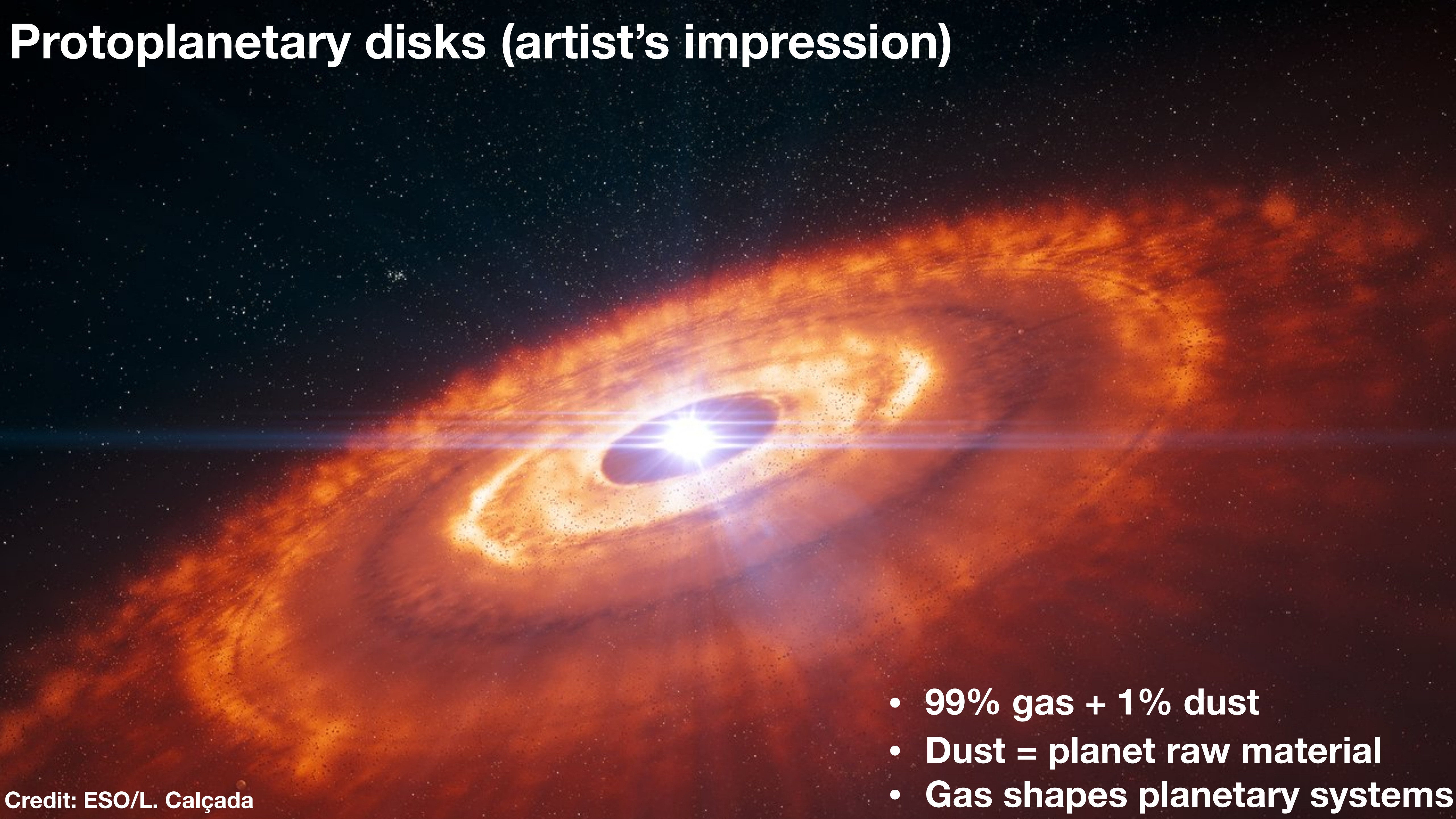


# Star & planet formation





# Protoplanetary disks (artist's impression)

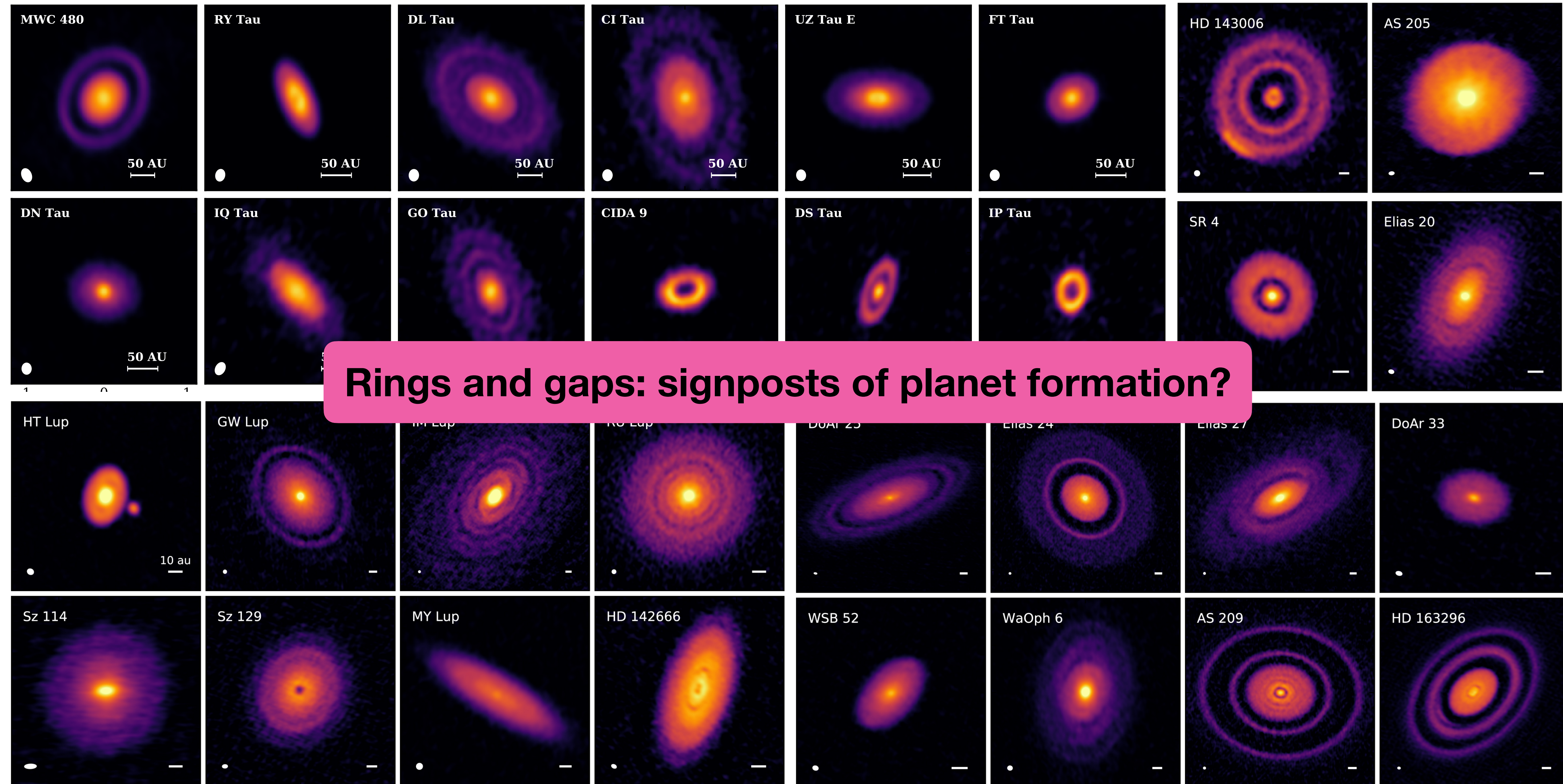


- 99% gas + 1% dust
- Dust = planet raw material
- Gas shapes planetary systems



# Real protoplanetary disks

(Andrews et al, 2018; Long et al 2018)

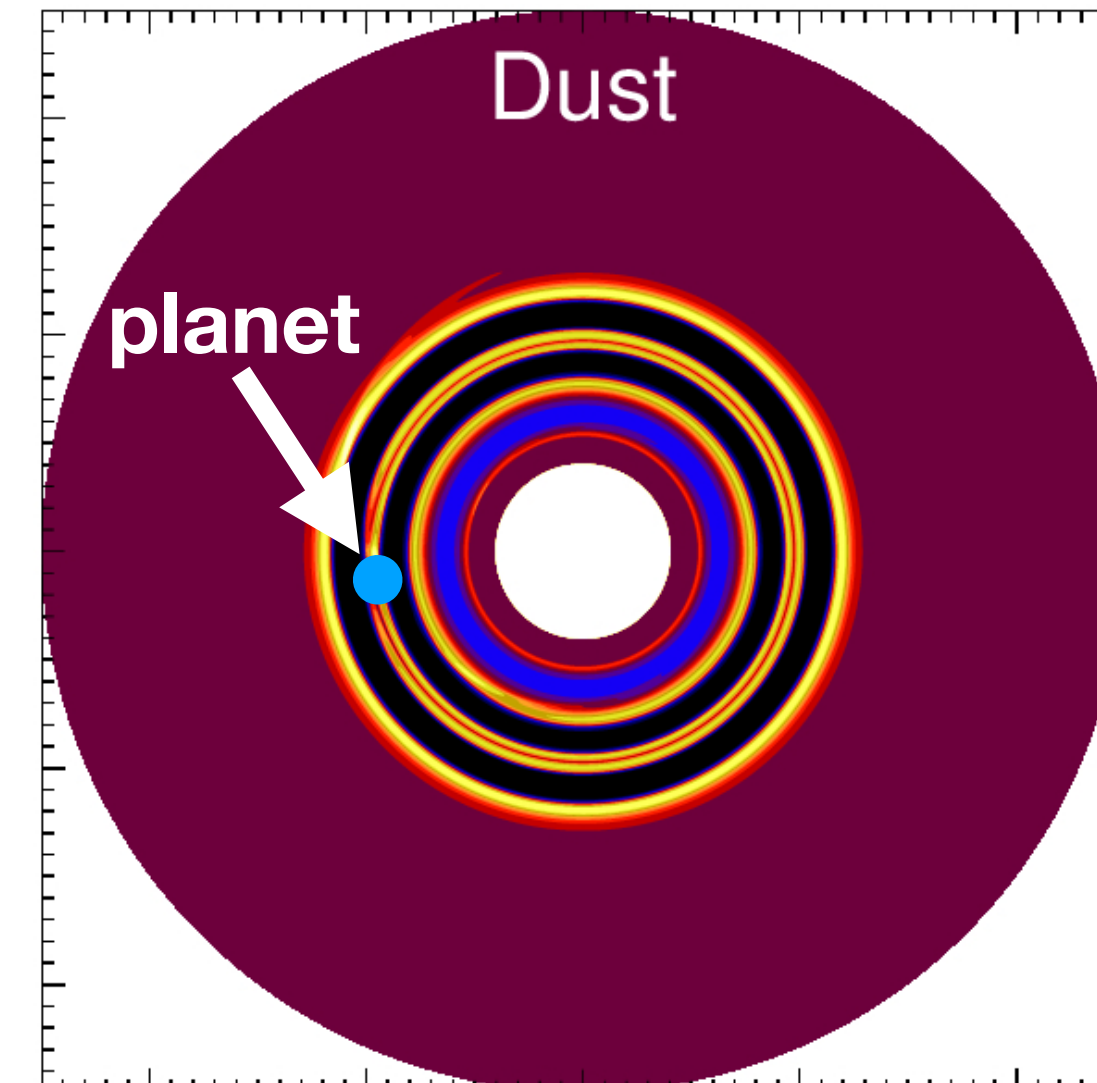
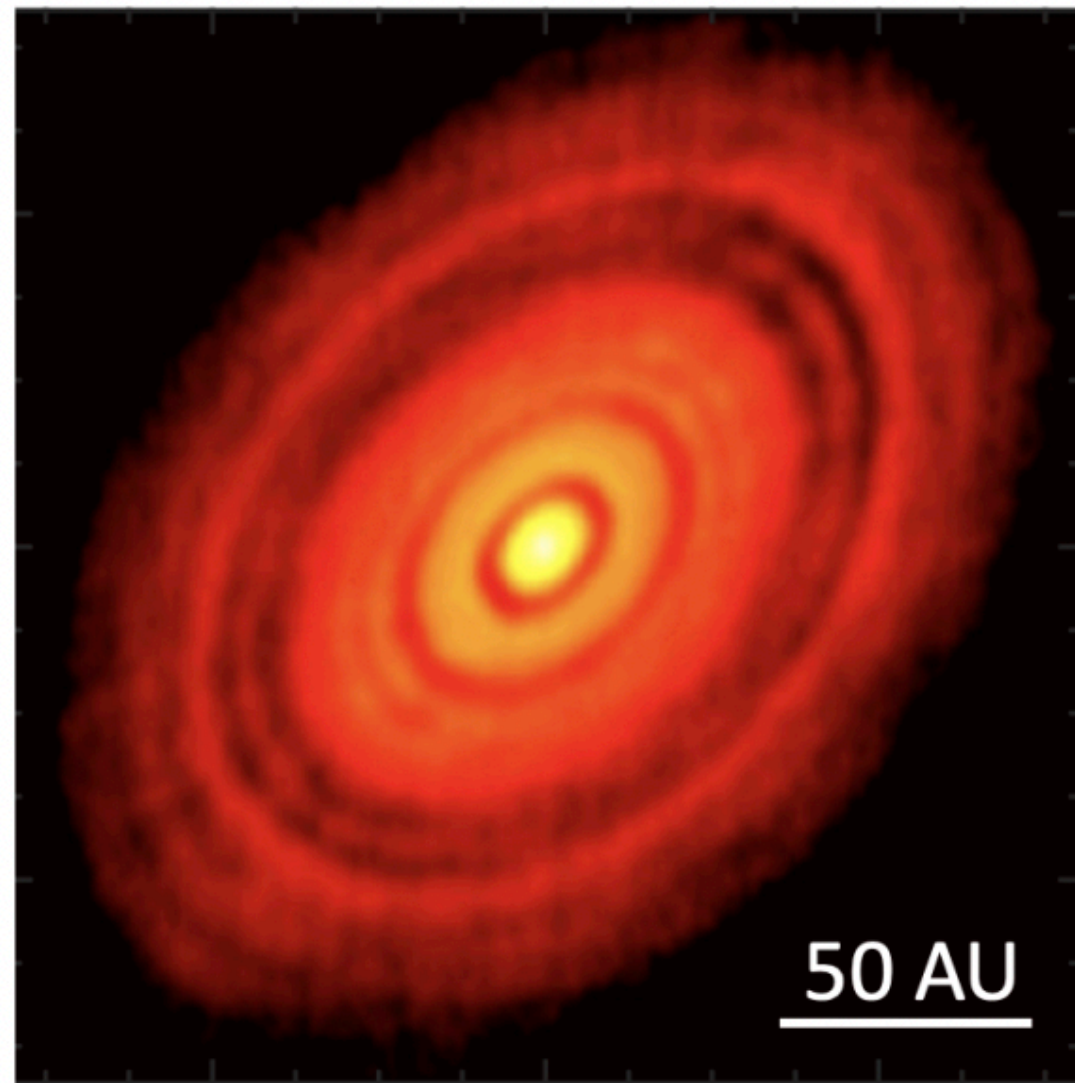




# Disk-planet interpretation

HL Tau (ALMA Partnership et al. 2015)

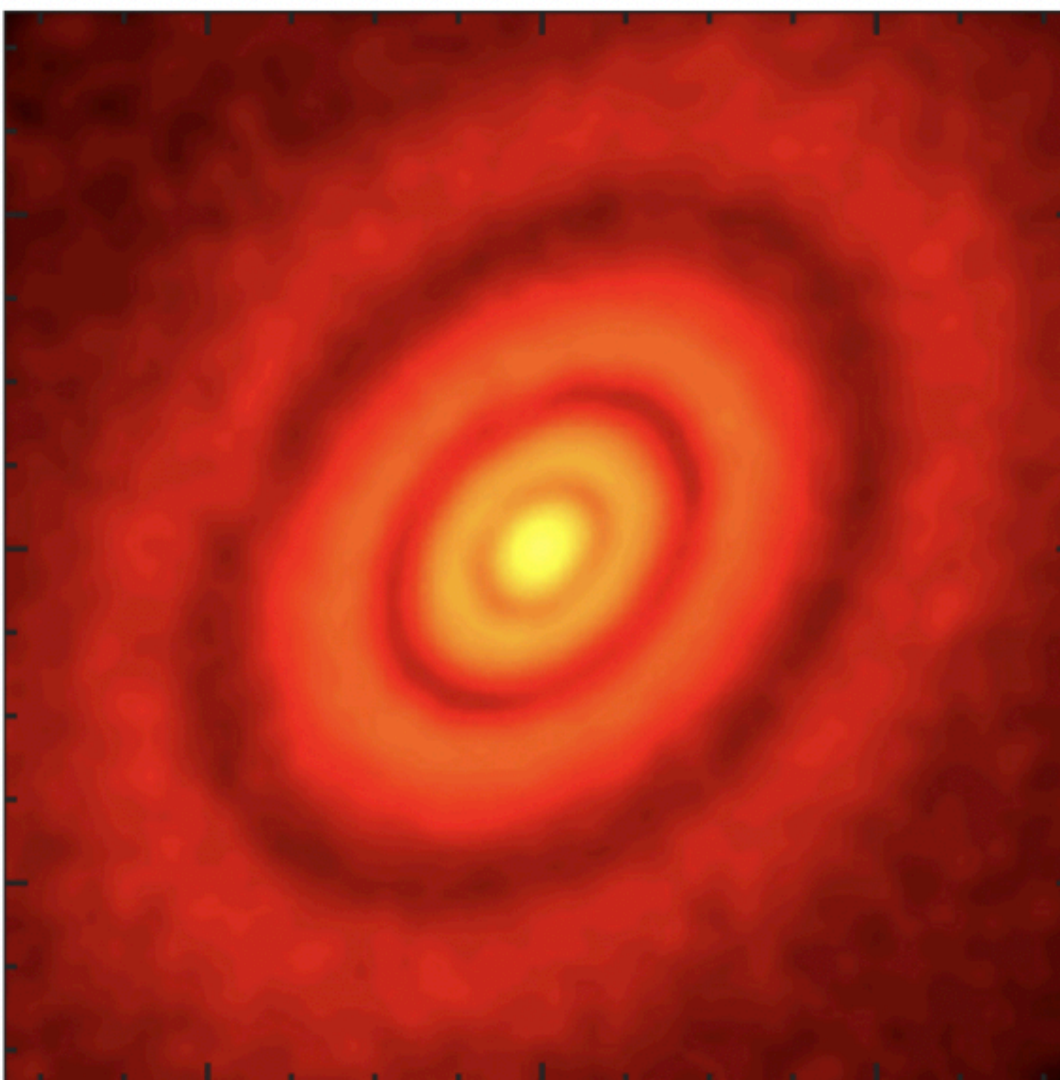
**Observations**



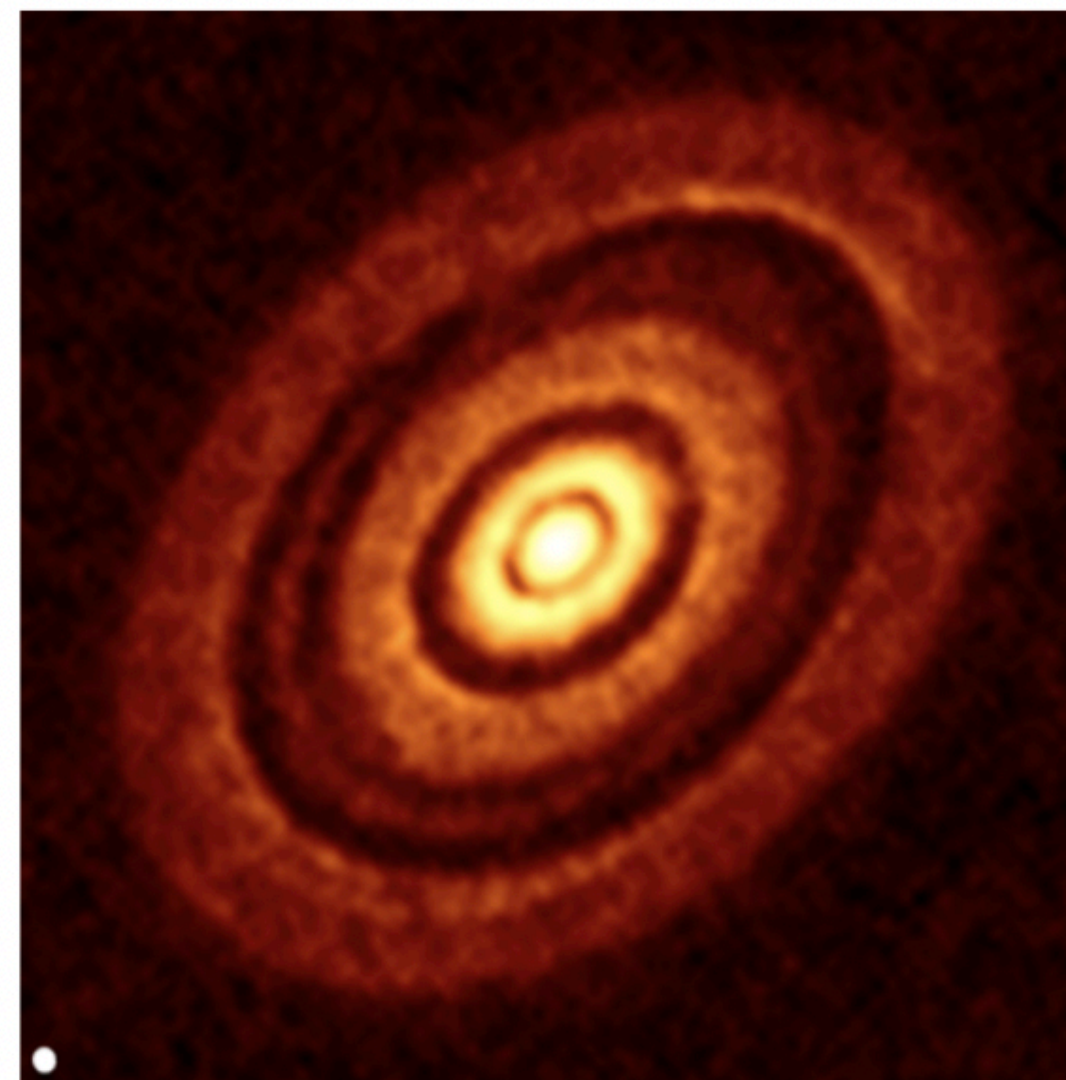
**Computer  
simulation**

(Chen & Lin, 2018)

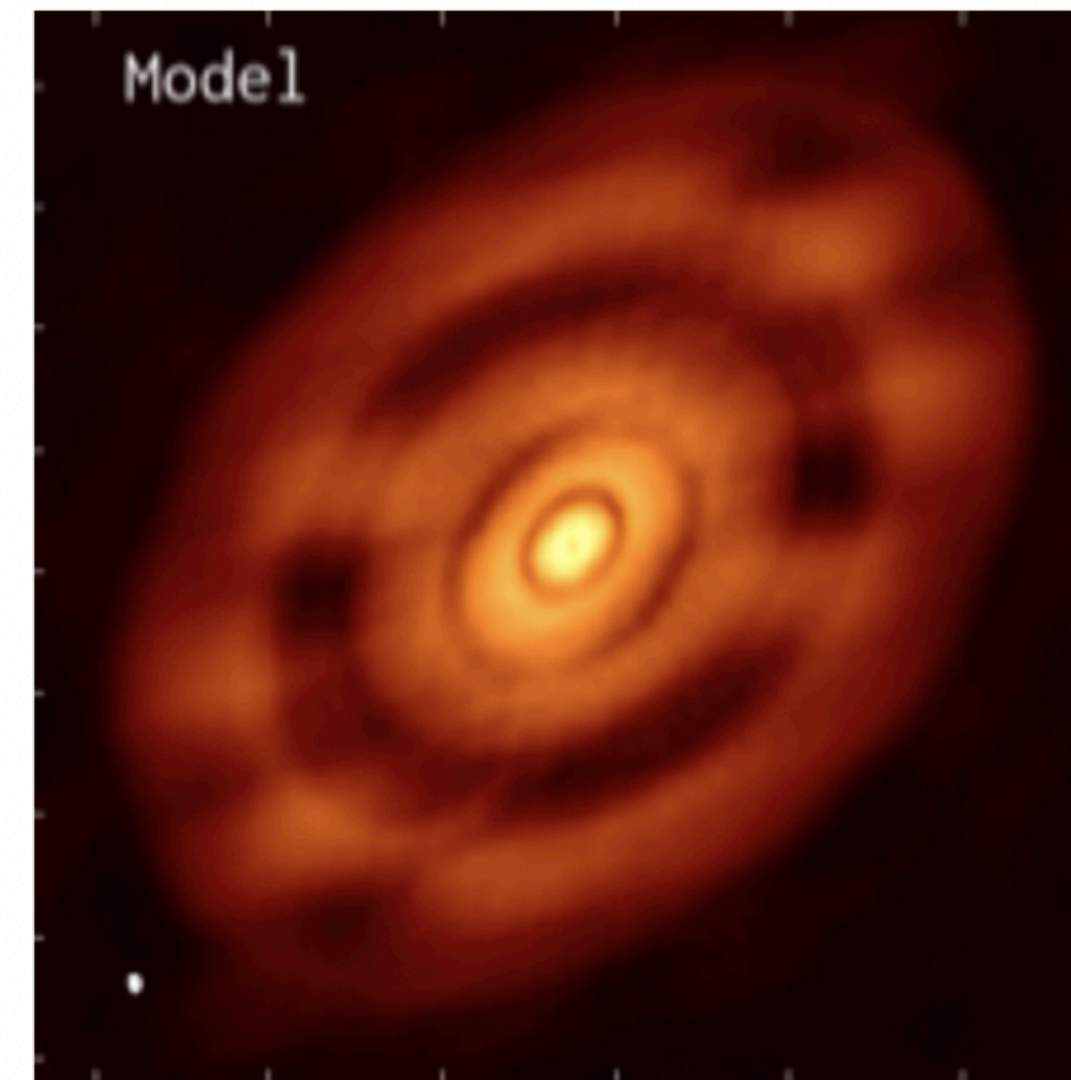
**Simulation  
+  
synthetic obs.**



Dong et al. 2015



Dipierro et al. 2015

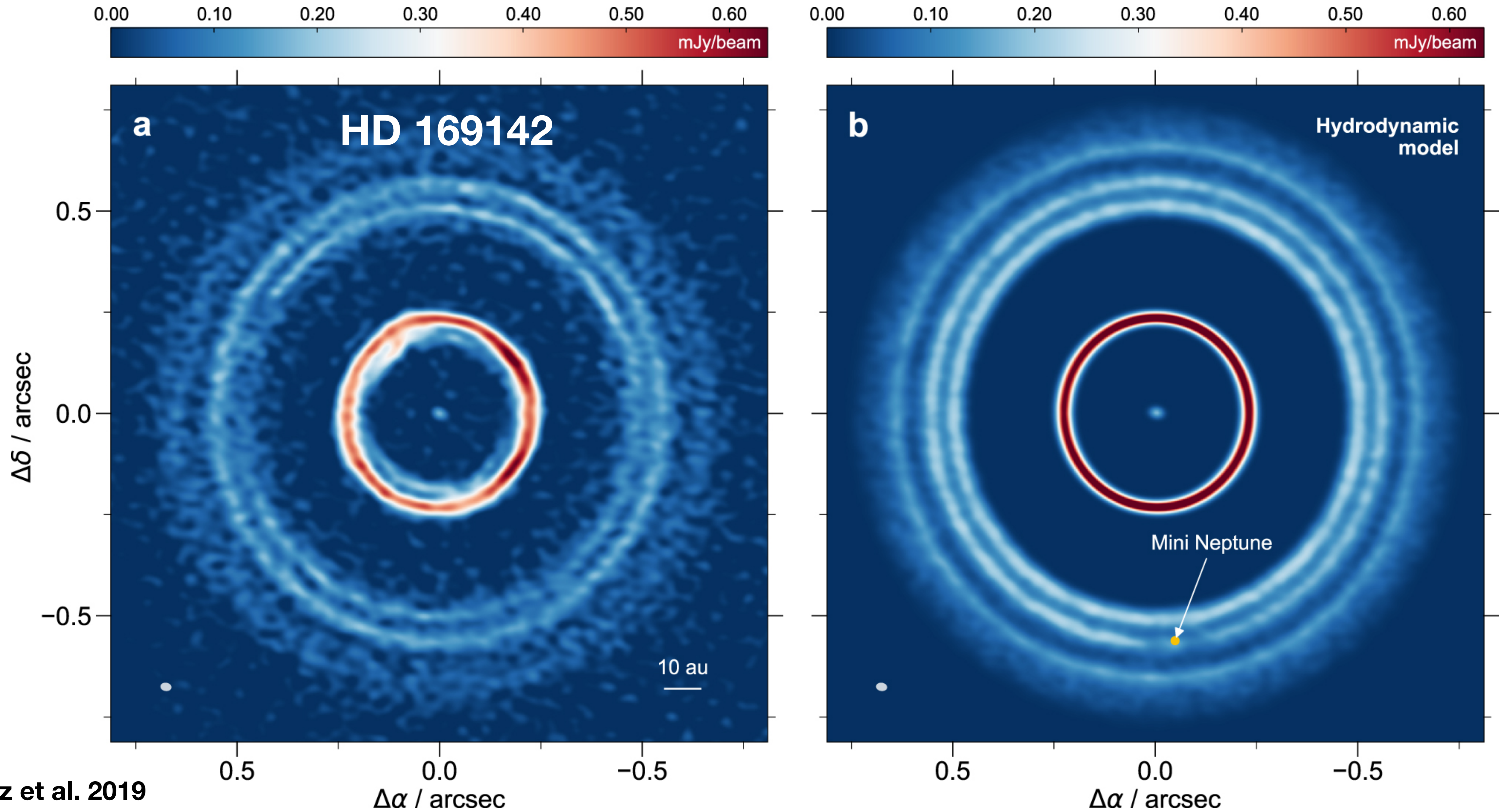


Jin et al. 2016

(Paardekooper et al., 2022, PPVII)

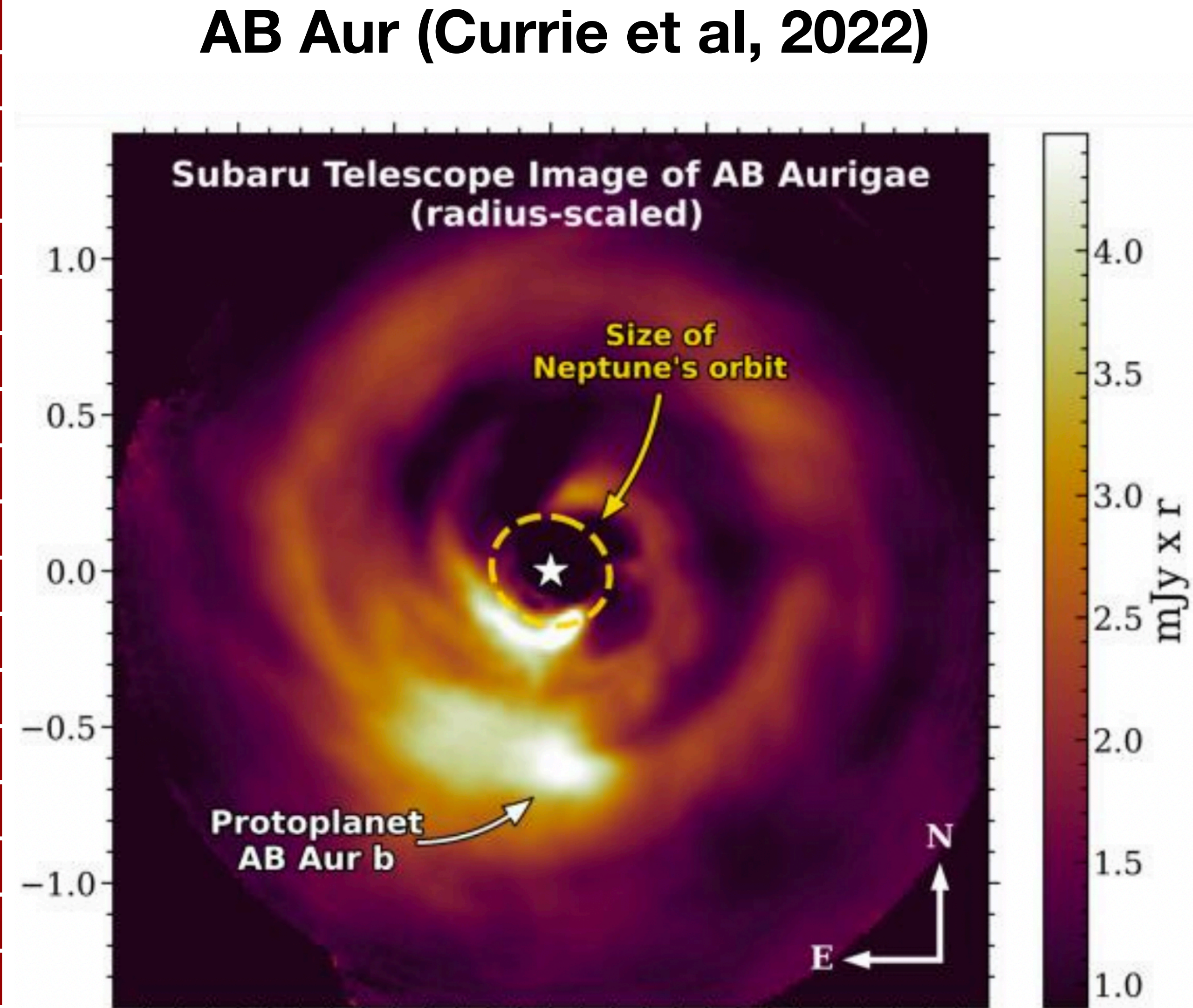
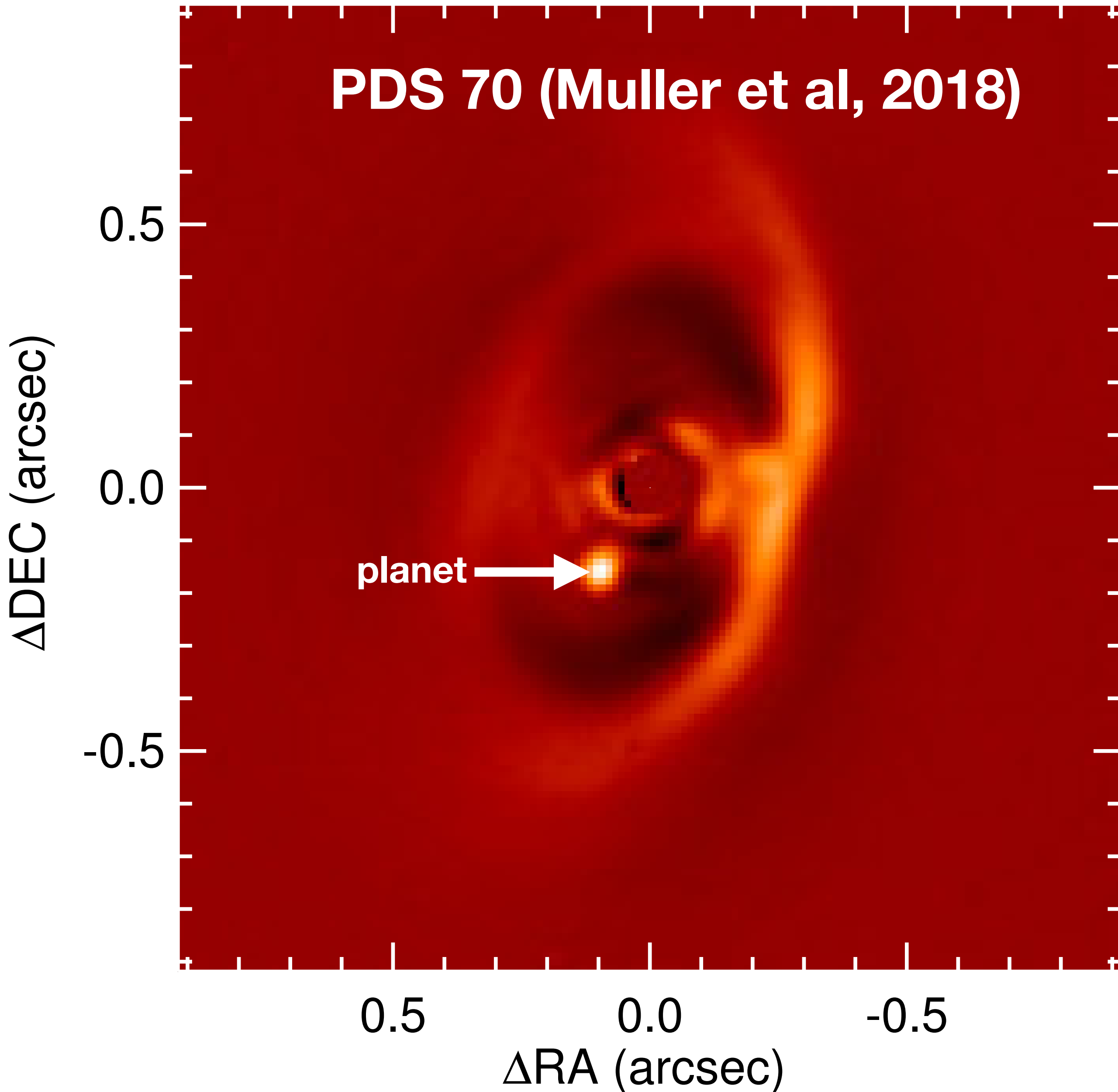


# Detecting planets via sub-structures



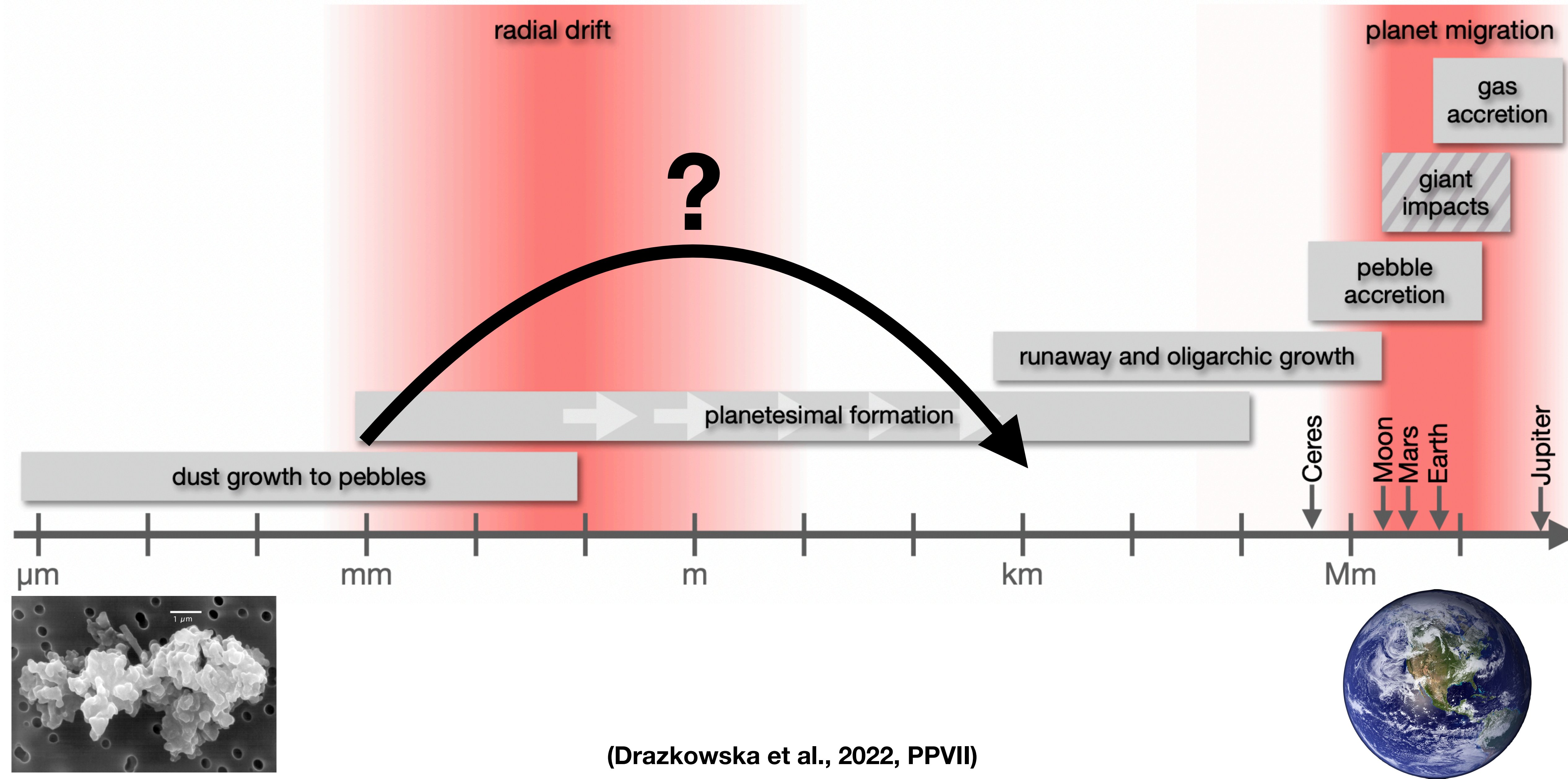


# Observations of planets in a disk



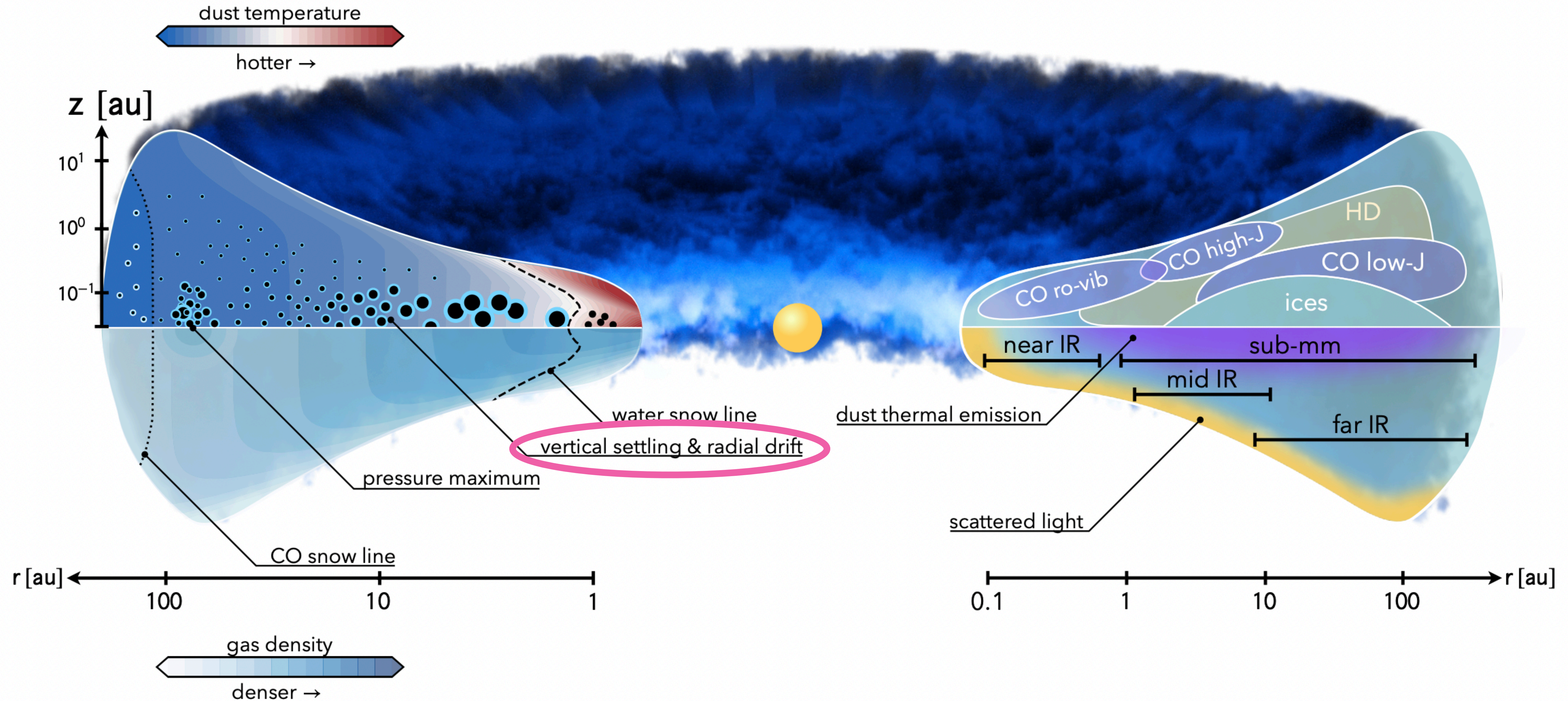


# One planet, multiple scales



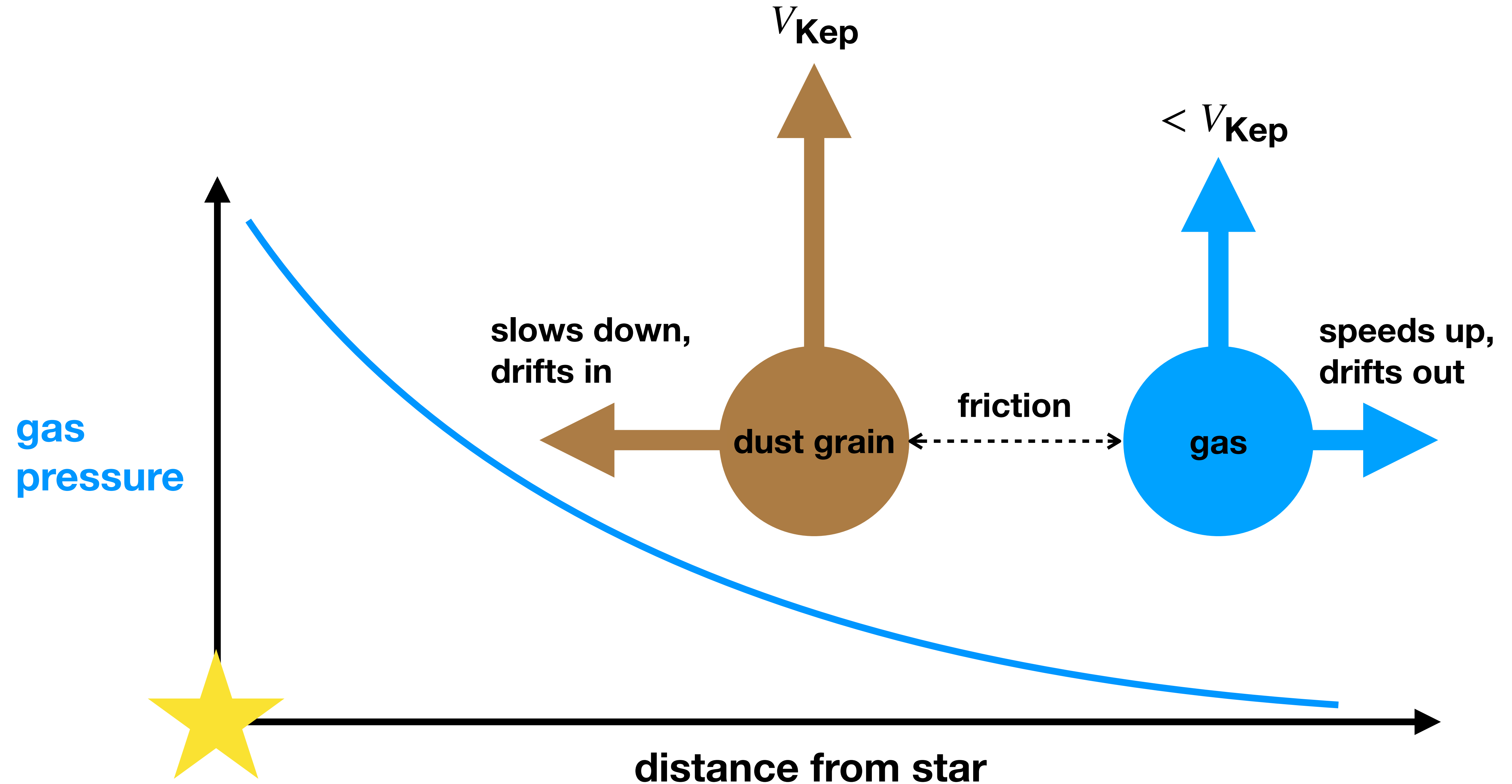


# Dust in protoplanetary disks





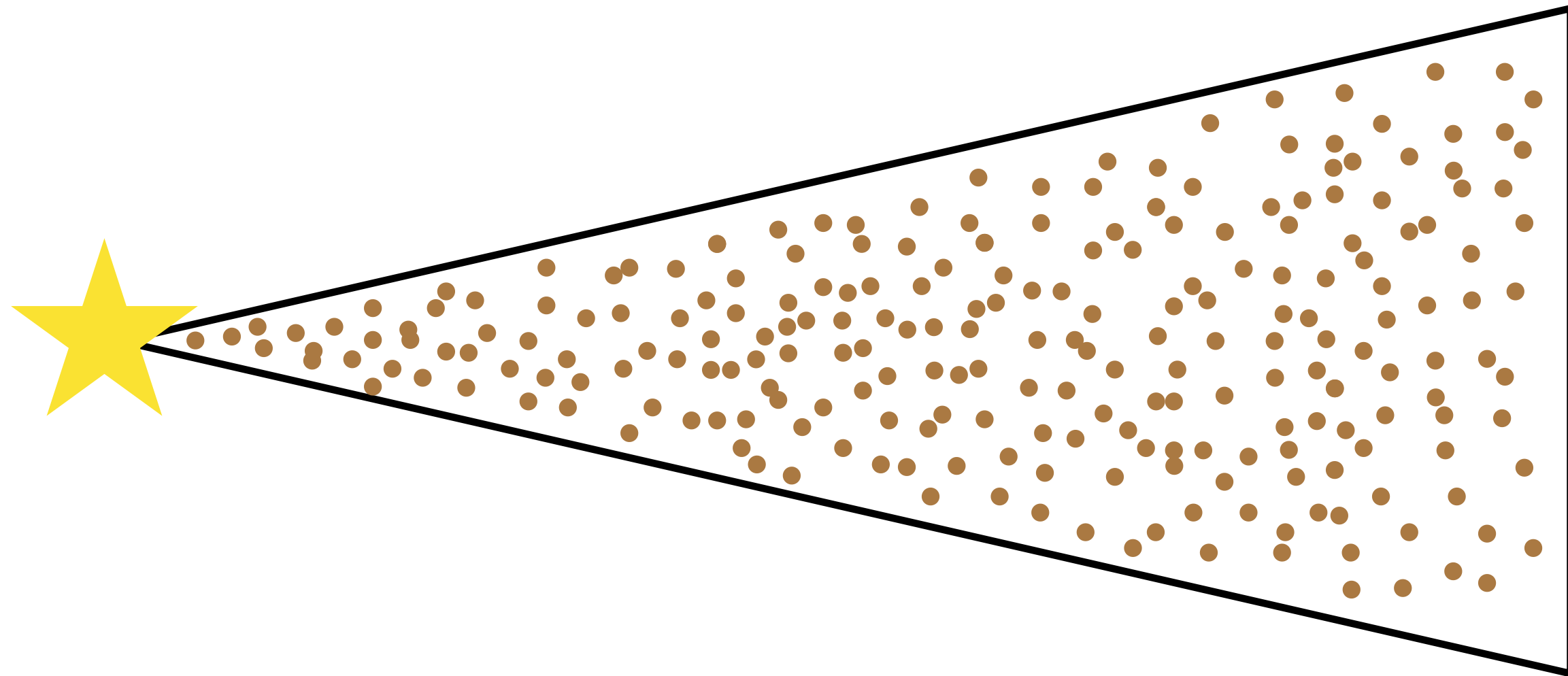
# Radial drift of dust grains



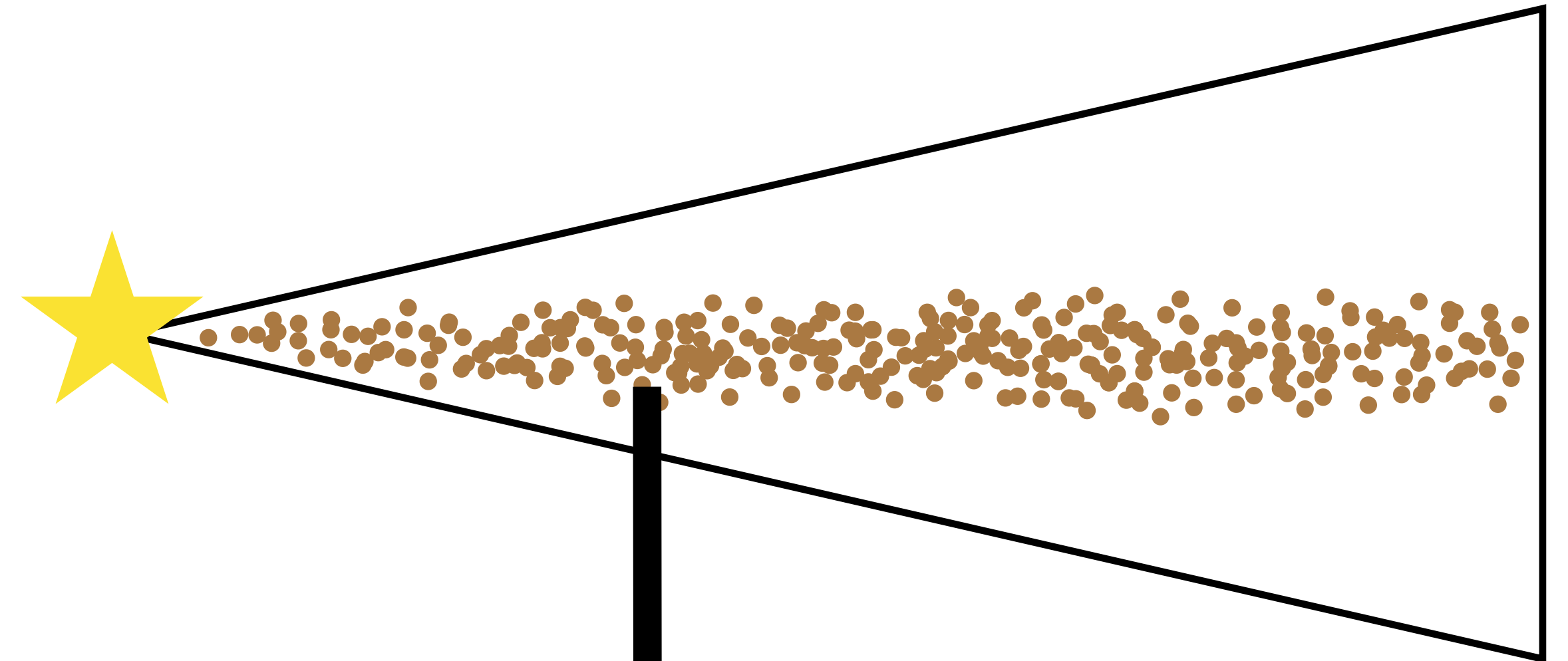


# Dust settling

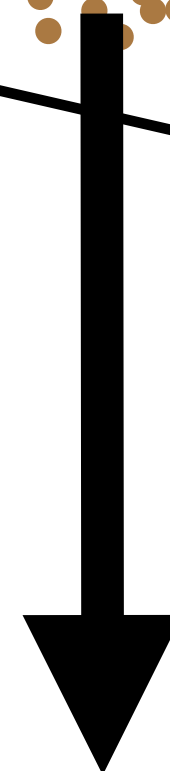
**well-mixed dust in young disk**



**dust sediments to the midplane**



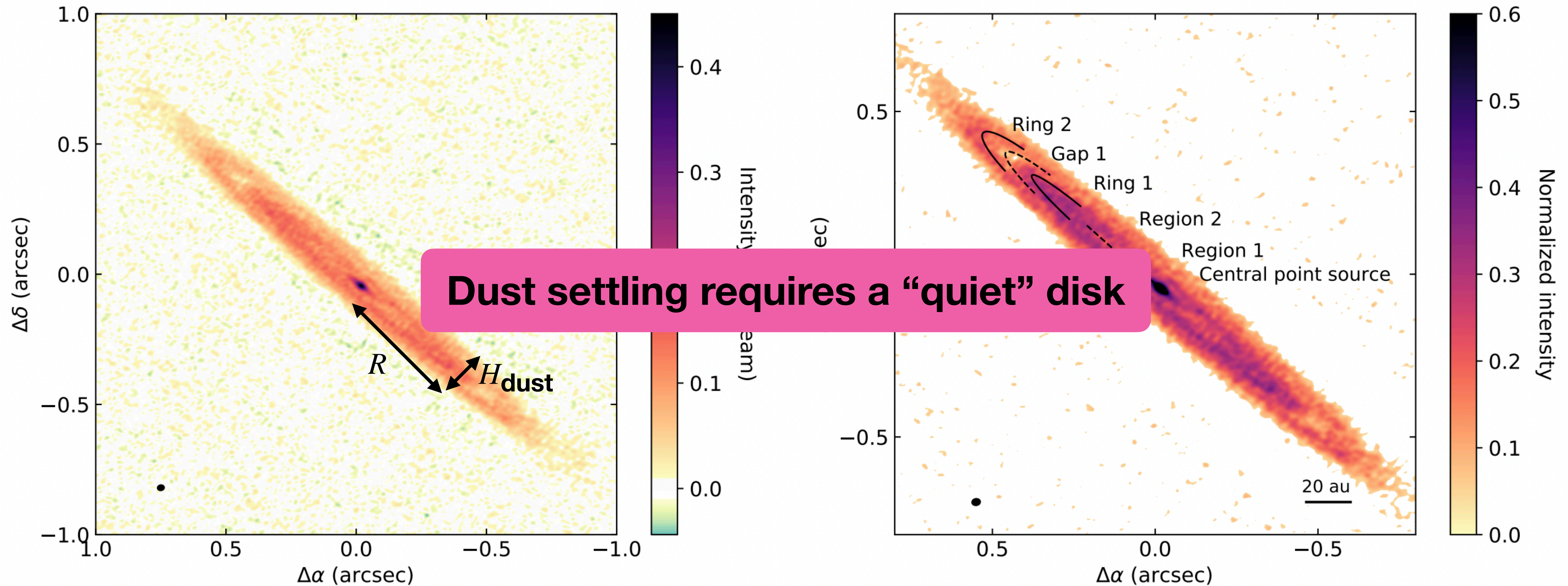
**planet(esimal) formation**





# Edge on disk observations

Oph 163131 (Villenave et al. 2022)



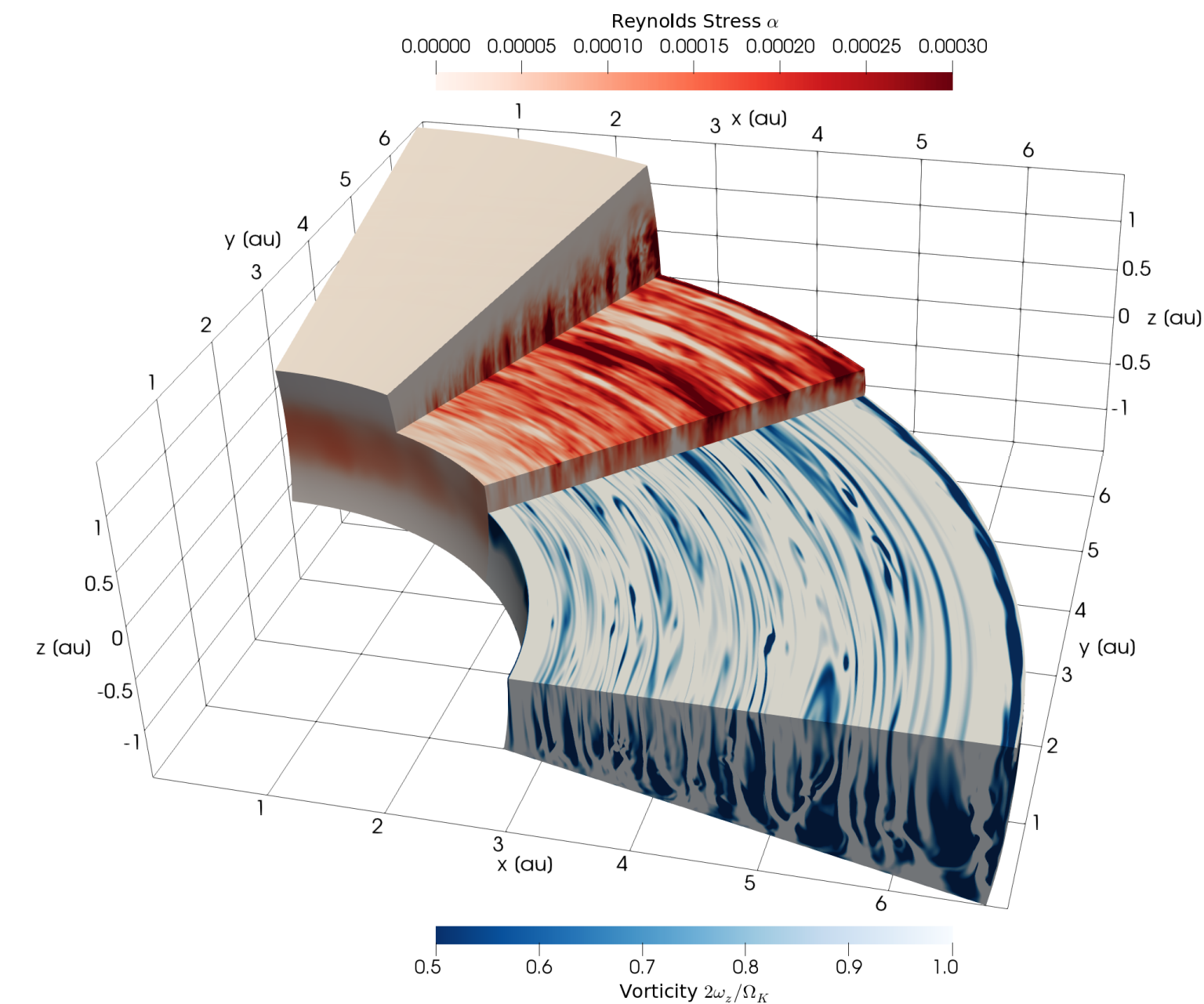
Dust settling requires a “quiet” disk

$$H_{\text{dust}} \sim 0.005R$$



# But PPDs are likely turbulent

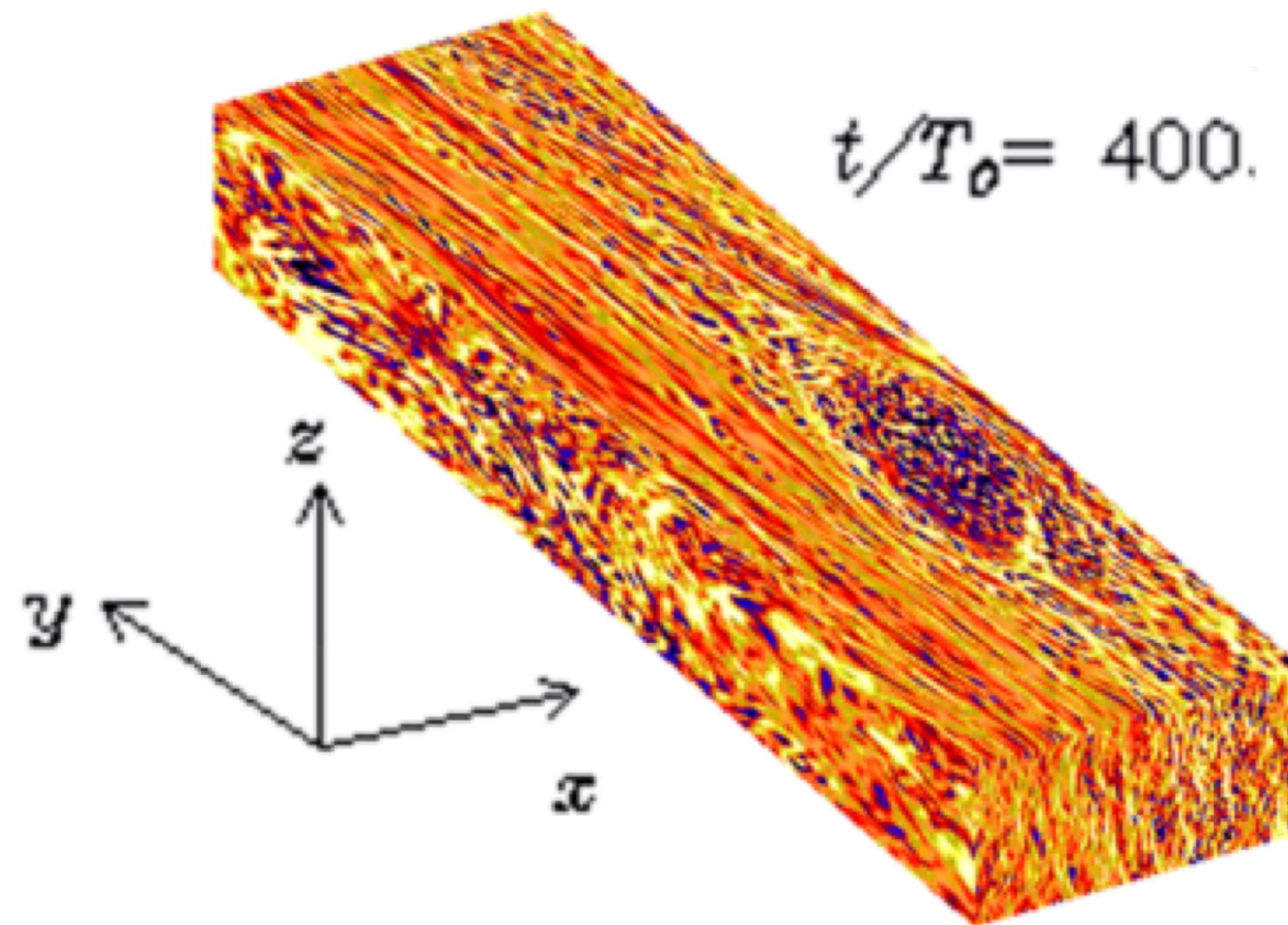
## Vertical shear instability



Pfeil & Klahr (2020)

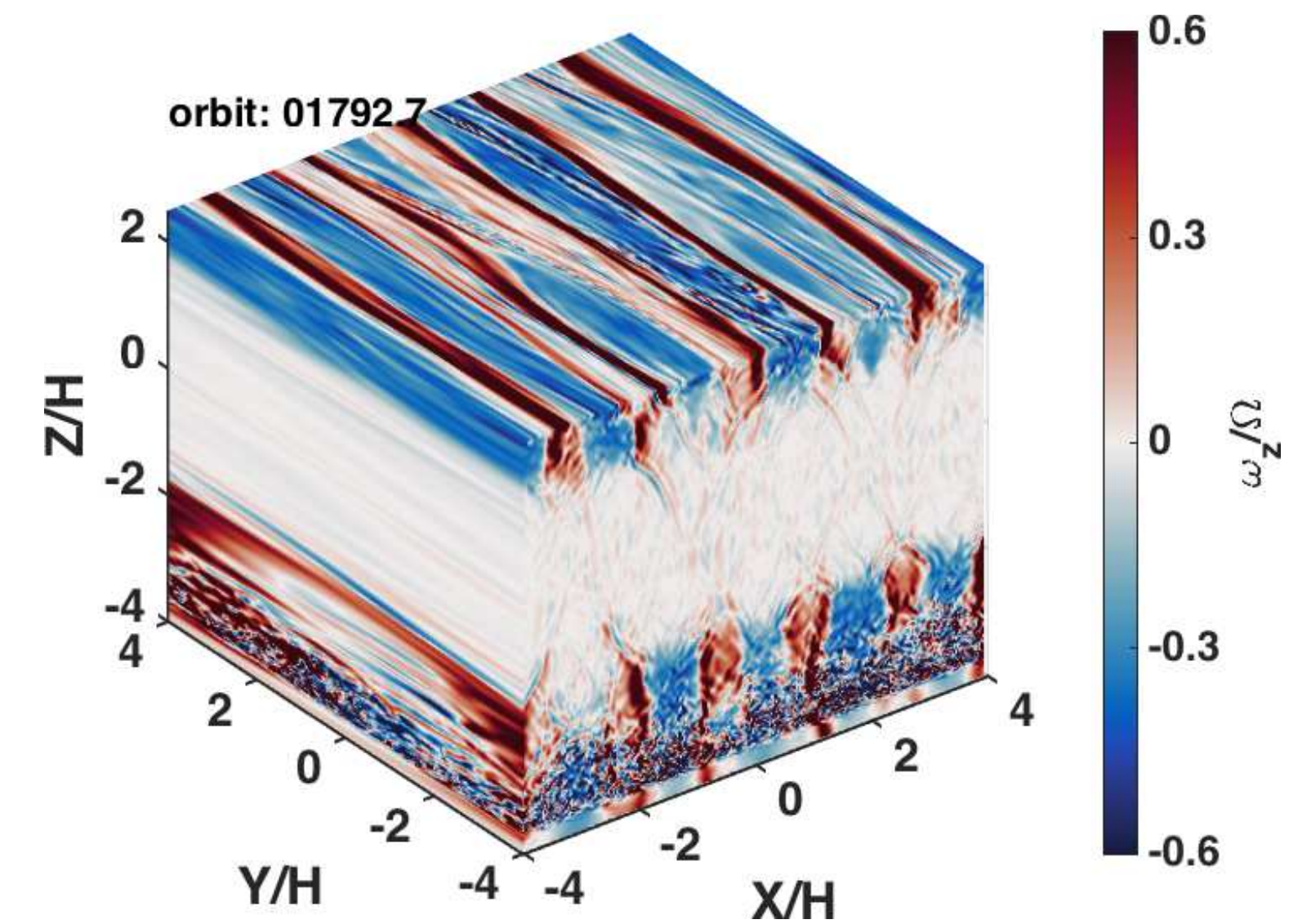
Lin & Youdin (2015)  
Cui & Lin (2021)

## Convective overstability



Lyra (2014)

## Zombie vortex instability



Barranco et al. (2018)

See Lesur,..., Lin, et al. (2022) PPVII review



# Modeling protoplanetary disks

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_g \cdot \nabla \right) \rho_g = -\rho_g (\nabla \cdot \mathbf{v}_g),$$

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_g \cdot \nabla \right) \mathbf{v}_g = -\frac{1}{\rho_g} \nabla P + \frac{1}{\rho_g} \nabla \cdot \hat{T} - \nabla \Phi_* - \frac{\epsilon}{t_s} (\mathbf{v}_g - \mathbf{v}_d),$$

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_d \cdot \nabla \right) \rho_d = -\rho_d (\nabla \cdot \mathbf{v}_d) + \nabla \cdot (\nu \rho \nabla f_d),$$

$$\left( \frac{\partial}{\partial t} + \mathbf{v}_d \cdot \nabla \right) \mathbf{v}_d = -\nabla \Phi_* - \frac{1}{t_s} (\mathbf{v}_d - \mathbf{v}_g),$$

Gas

Dust (as a fluid)

friction between dust and gas



# Dust settling vs. turbulence

time= 0.00 ORB

$$M_{\text{dust}} = 0.01 M_{\text{gas}}$$

$$M_{\text{dust}} = 0.05 M_{\text{gas}}$$

$z/H_g$

$R/R_0$

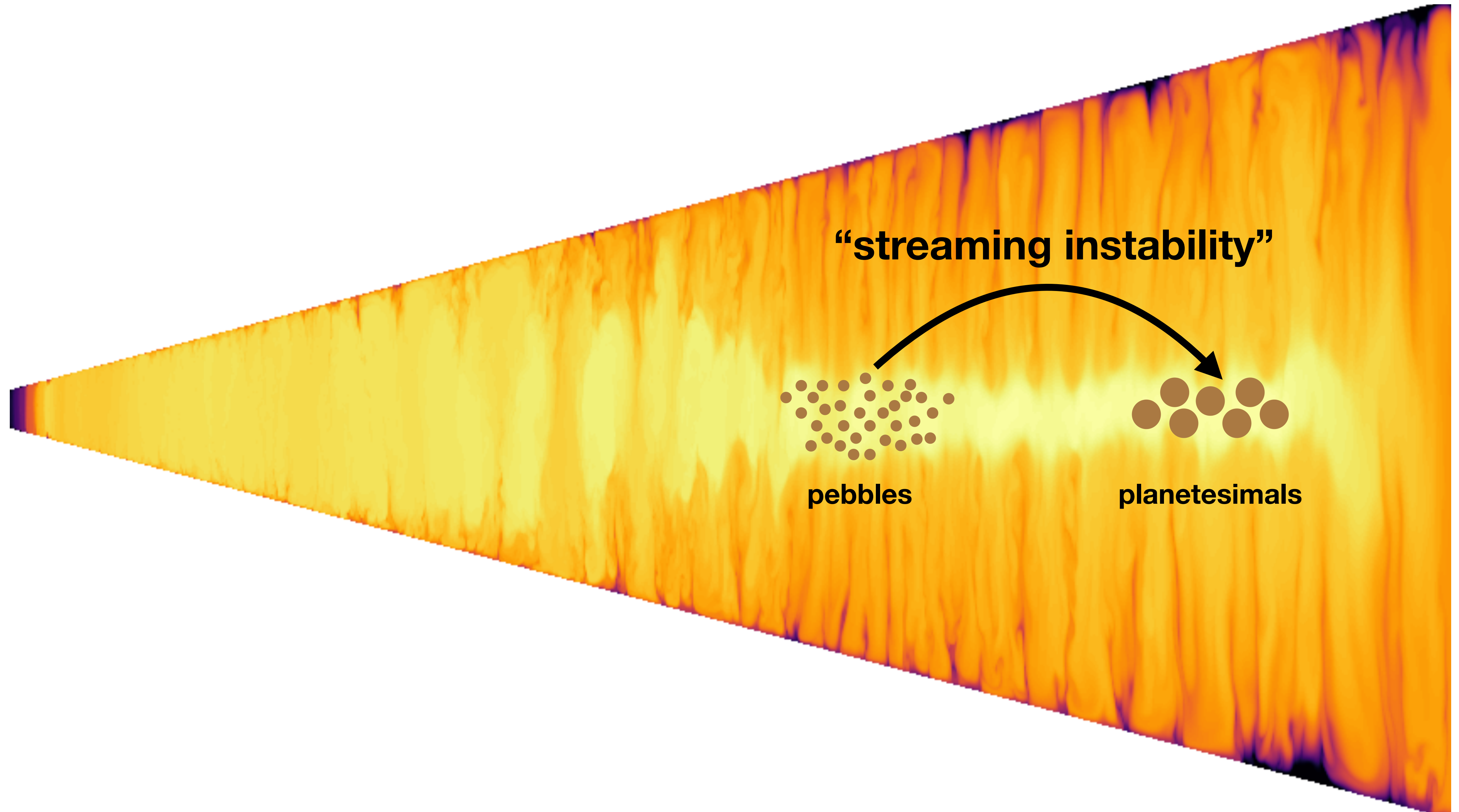
$R/R_0$

Lehmann & Lin (2022)



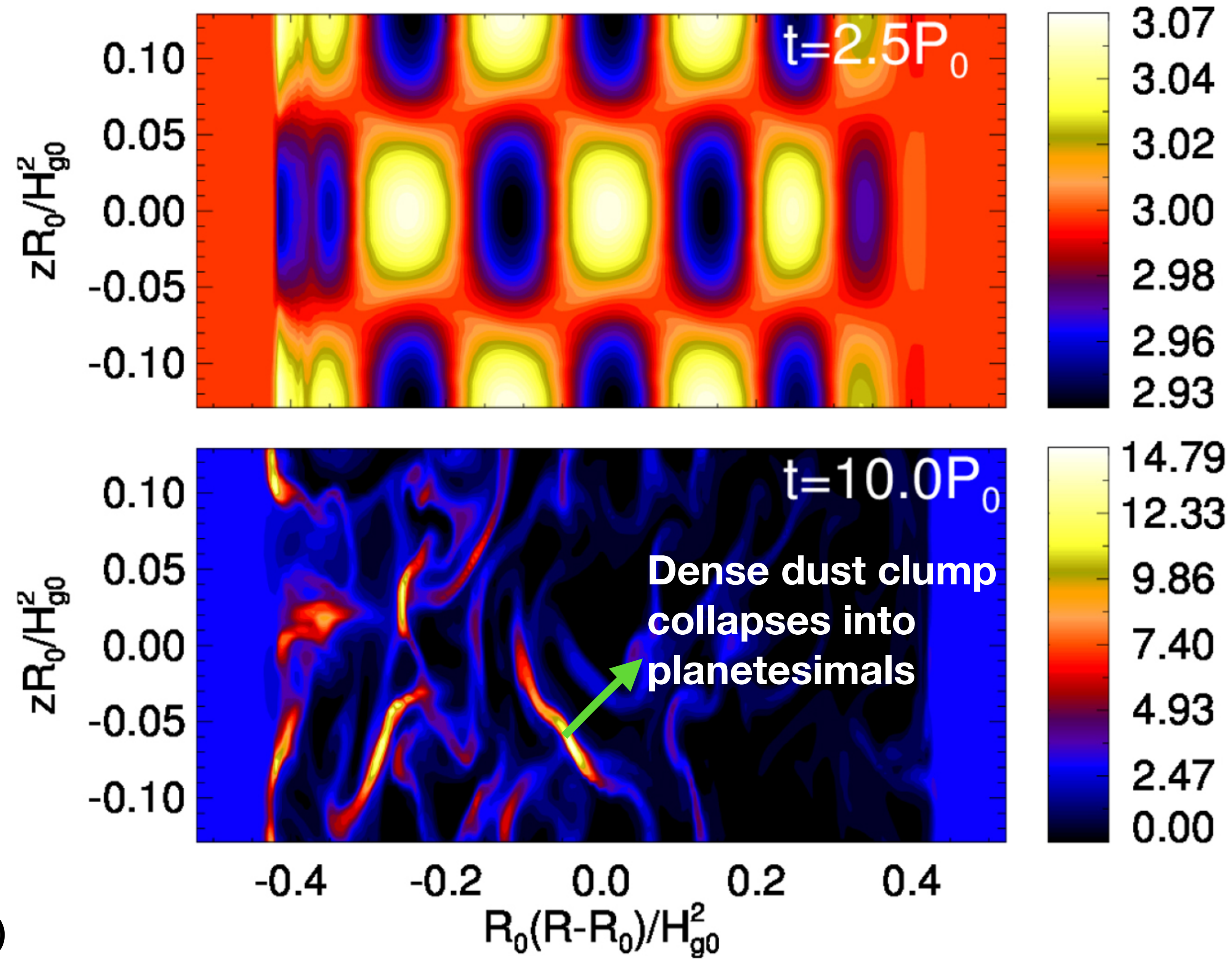


# Planetesimal formation in the mid-plane



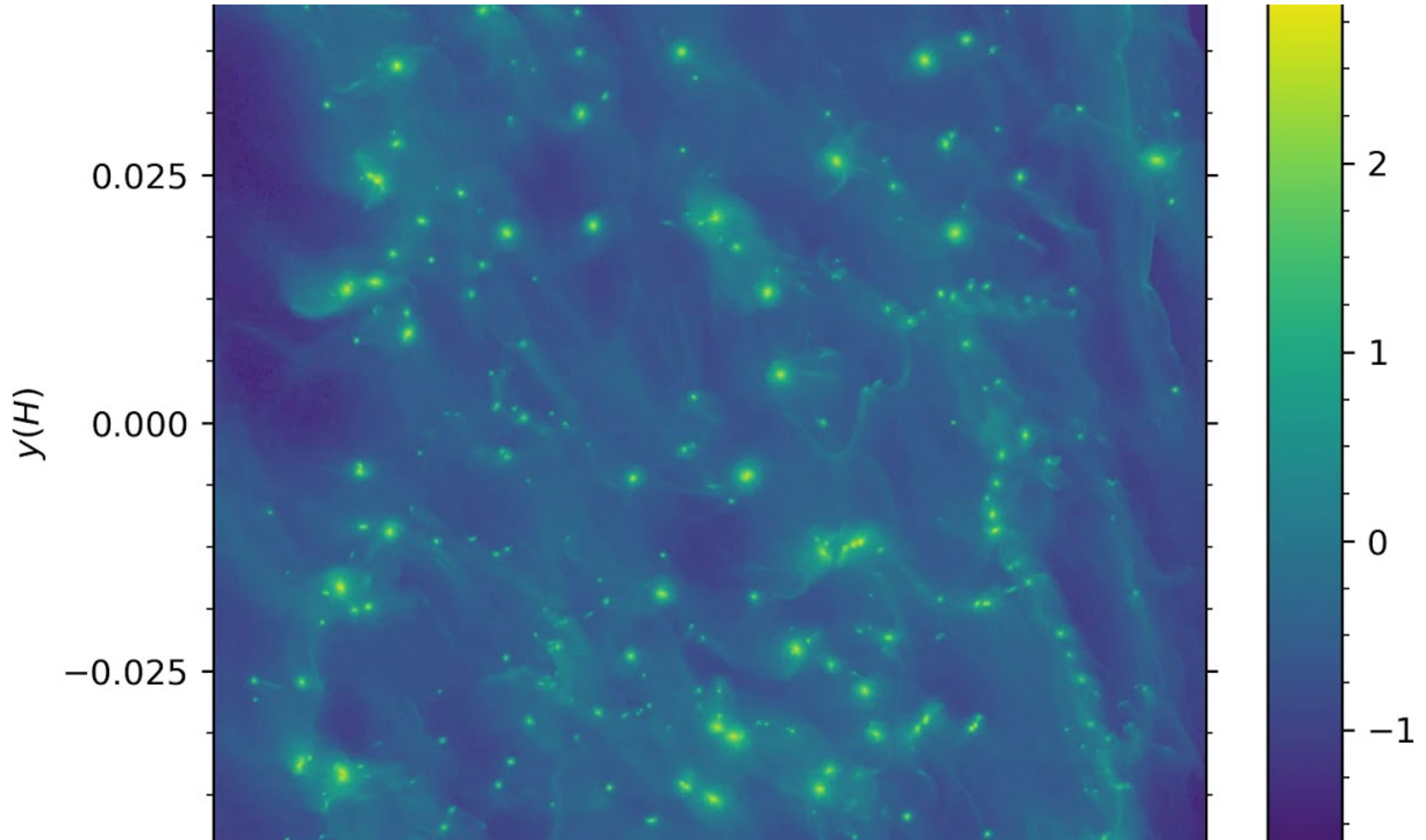


# Streaming instability of dusty gas





# State-of-the-art simulations (Nesvorný et al., 2020)



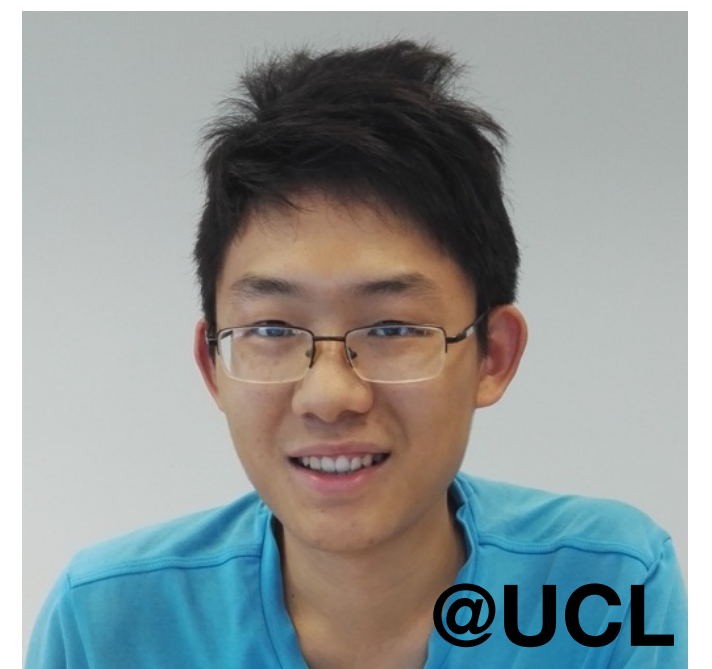
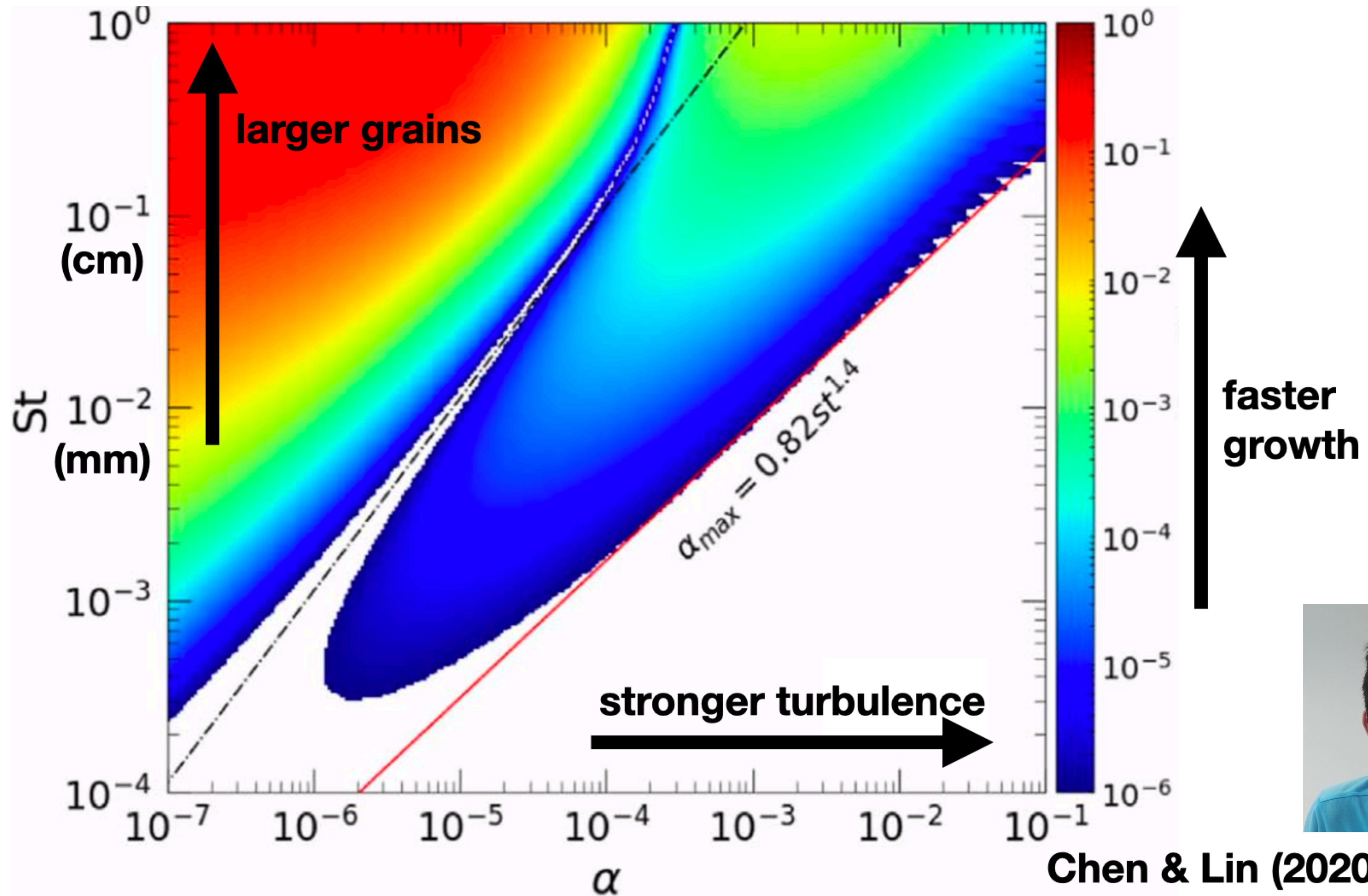


# The ideal SI

- **disk is non-turbulent** → **Chen & Lin (2020)**
- **disk has no vertical structure** → **Lin (2021)**
- **disk is unmagnetized** → **Lin & Hsu (2022)**  
**Hsu & Lin (2022)**



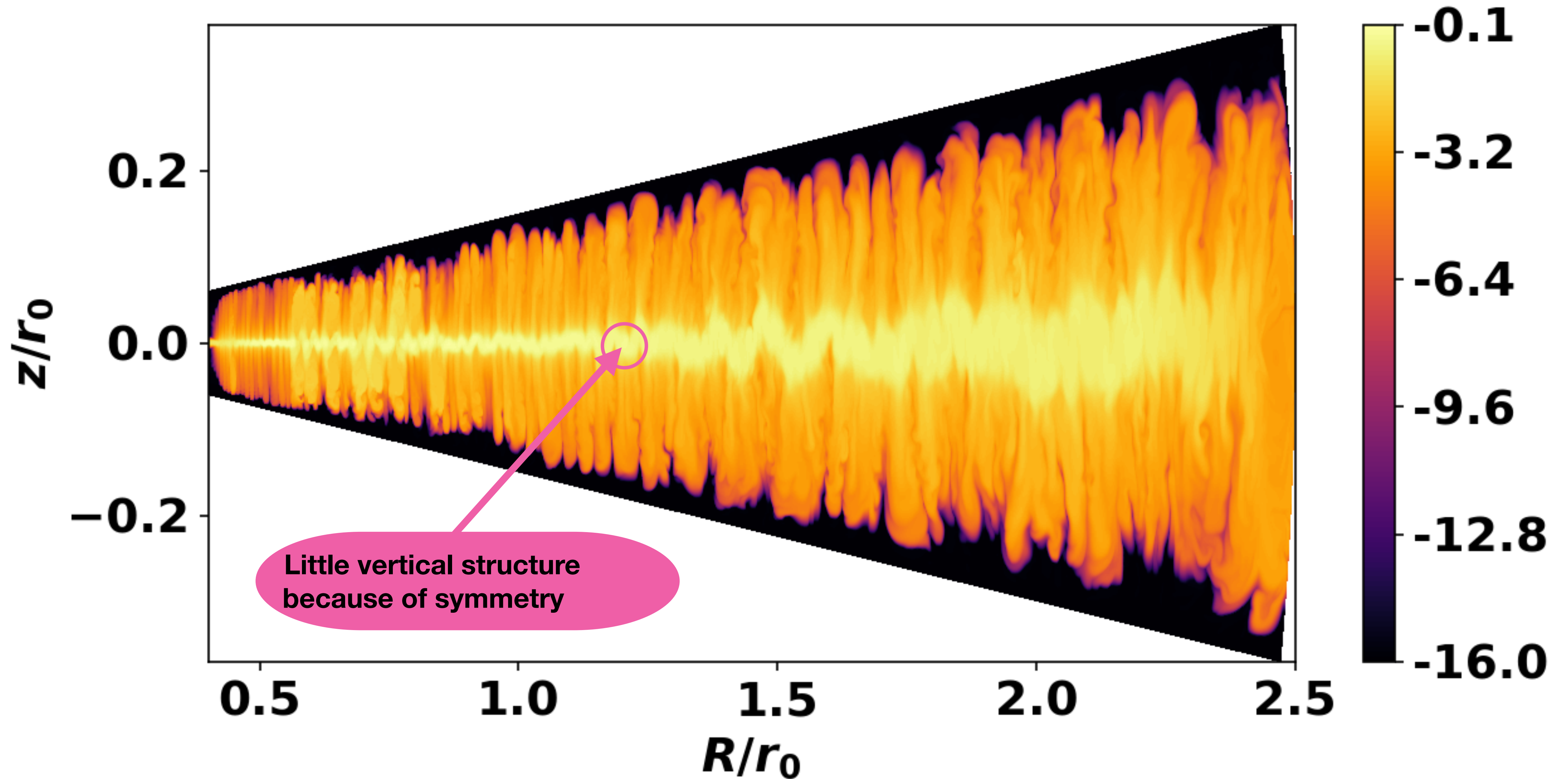
# Streaming instability is easily killed by turbulent viscosity



Chen & Lin (2020)

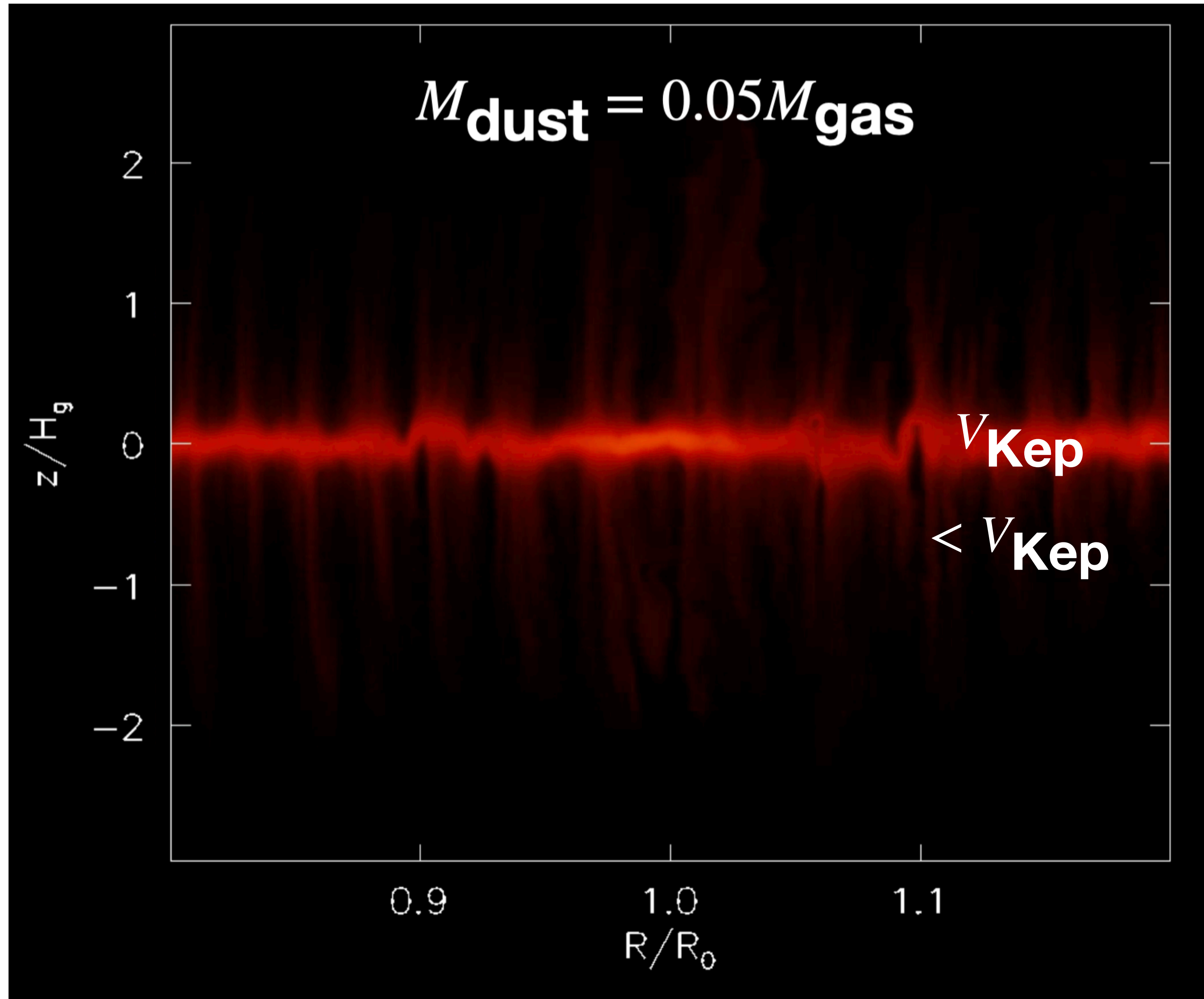


# SI analyses use unstratified disk models





# Stratified dust layers

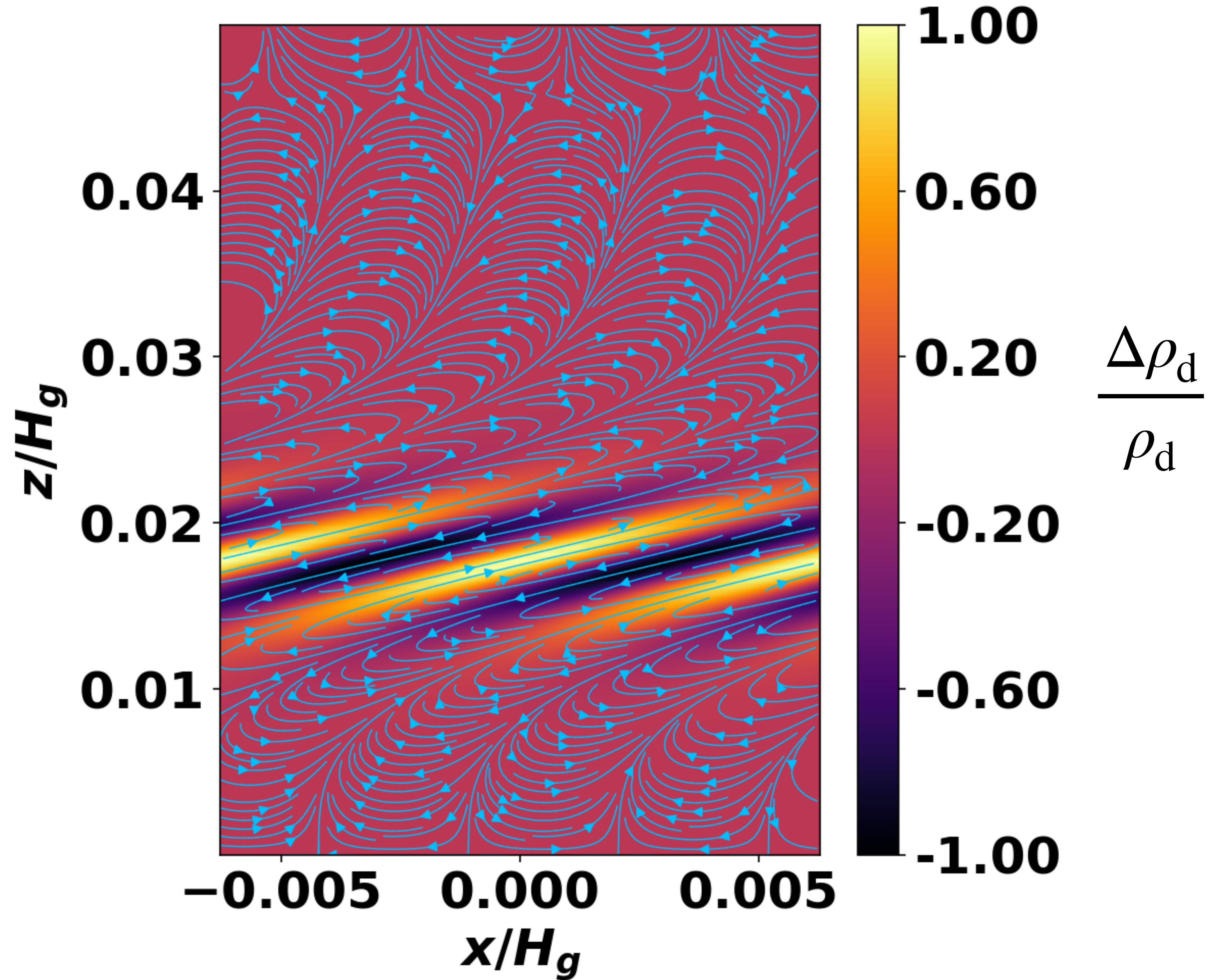


$$\frac{\partial \Omega}{\partial z} \neq 0$$



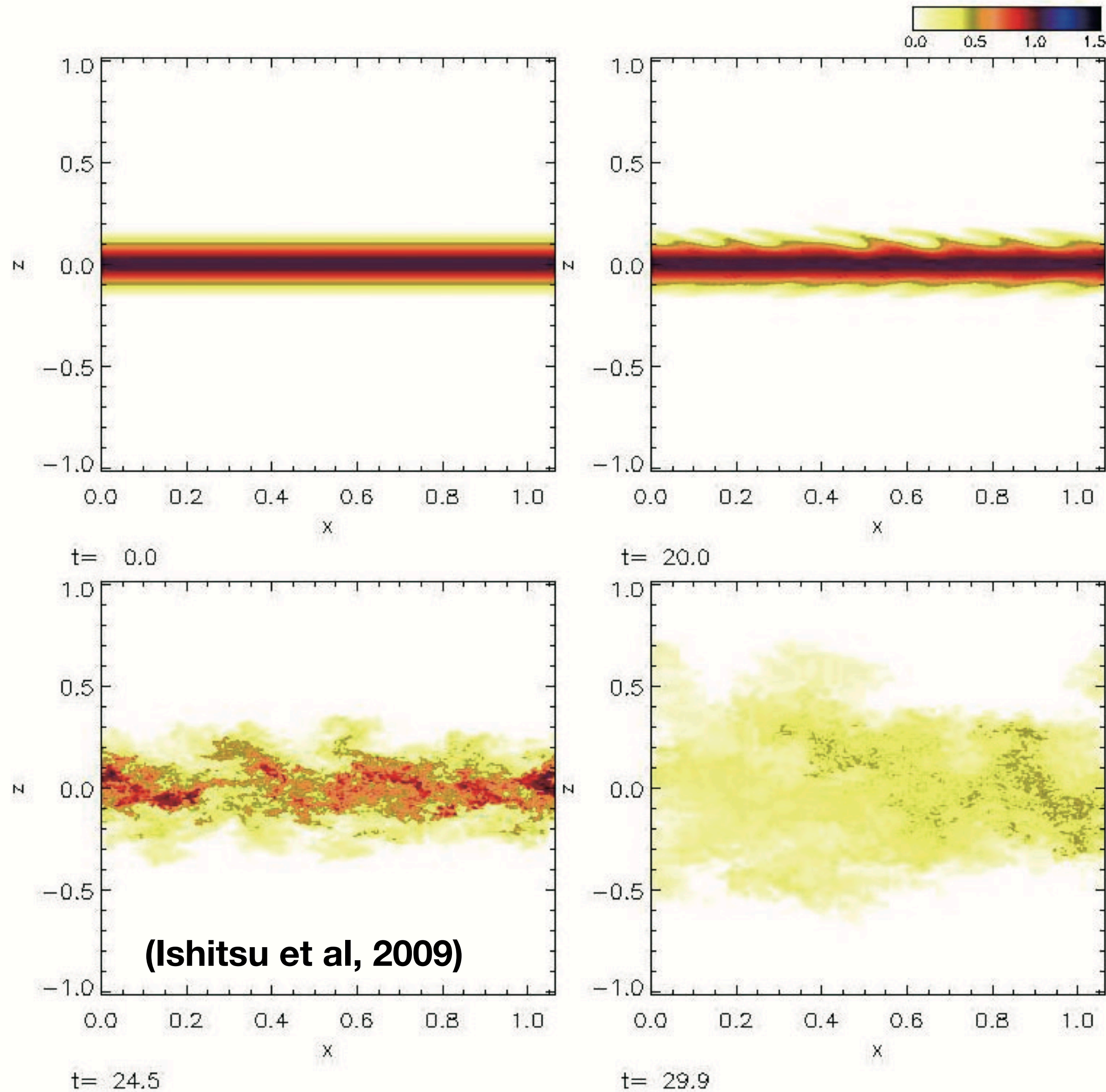
# “Vertically shearing SI” in stratified disks

$$S_{\text{grow}} \sim \Omega$$





# Vertically shearing SIs grow fast but...

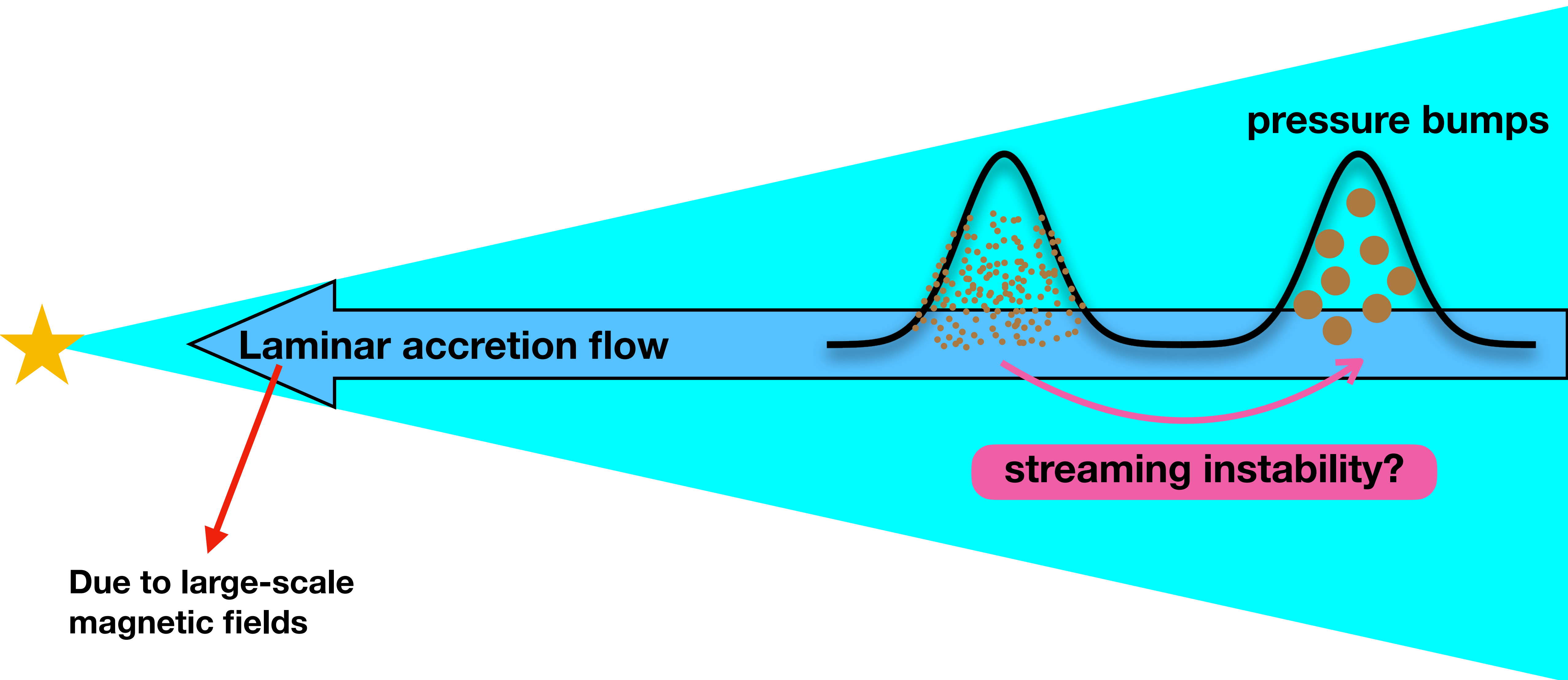


dust layer  
dispersed





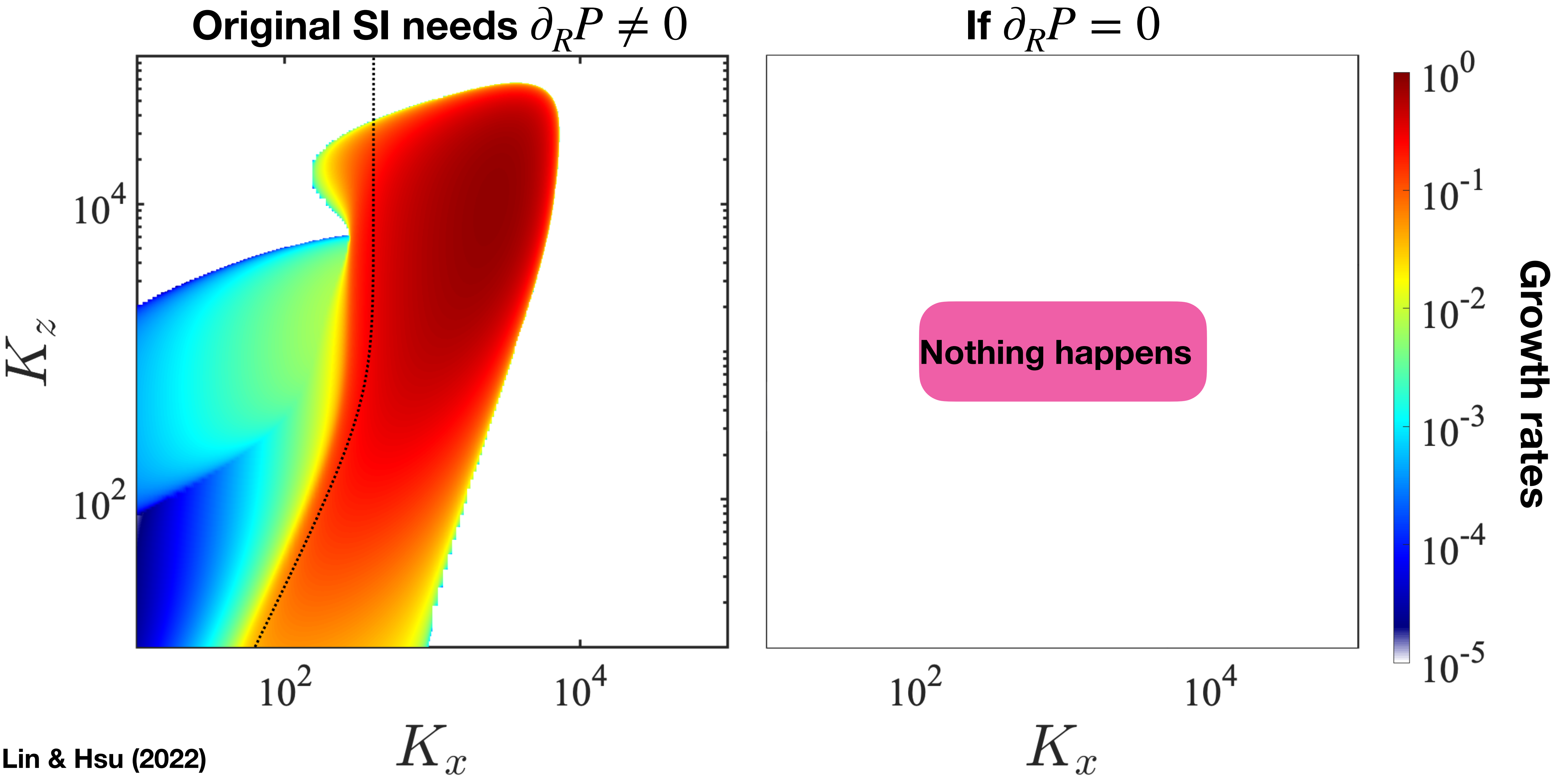
# Can modern disk models help?



(e.g. Riols et al. 2020, Cui & Bai 2021)

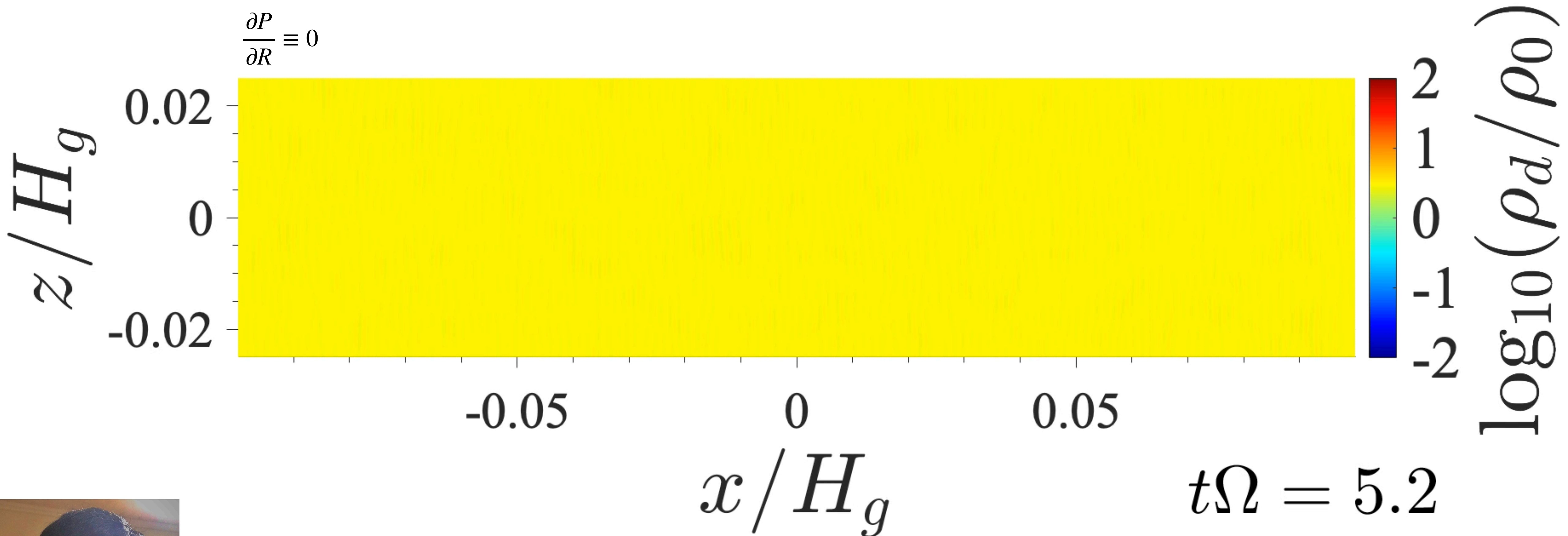


# Streaming instability in accreting disks



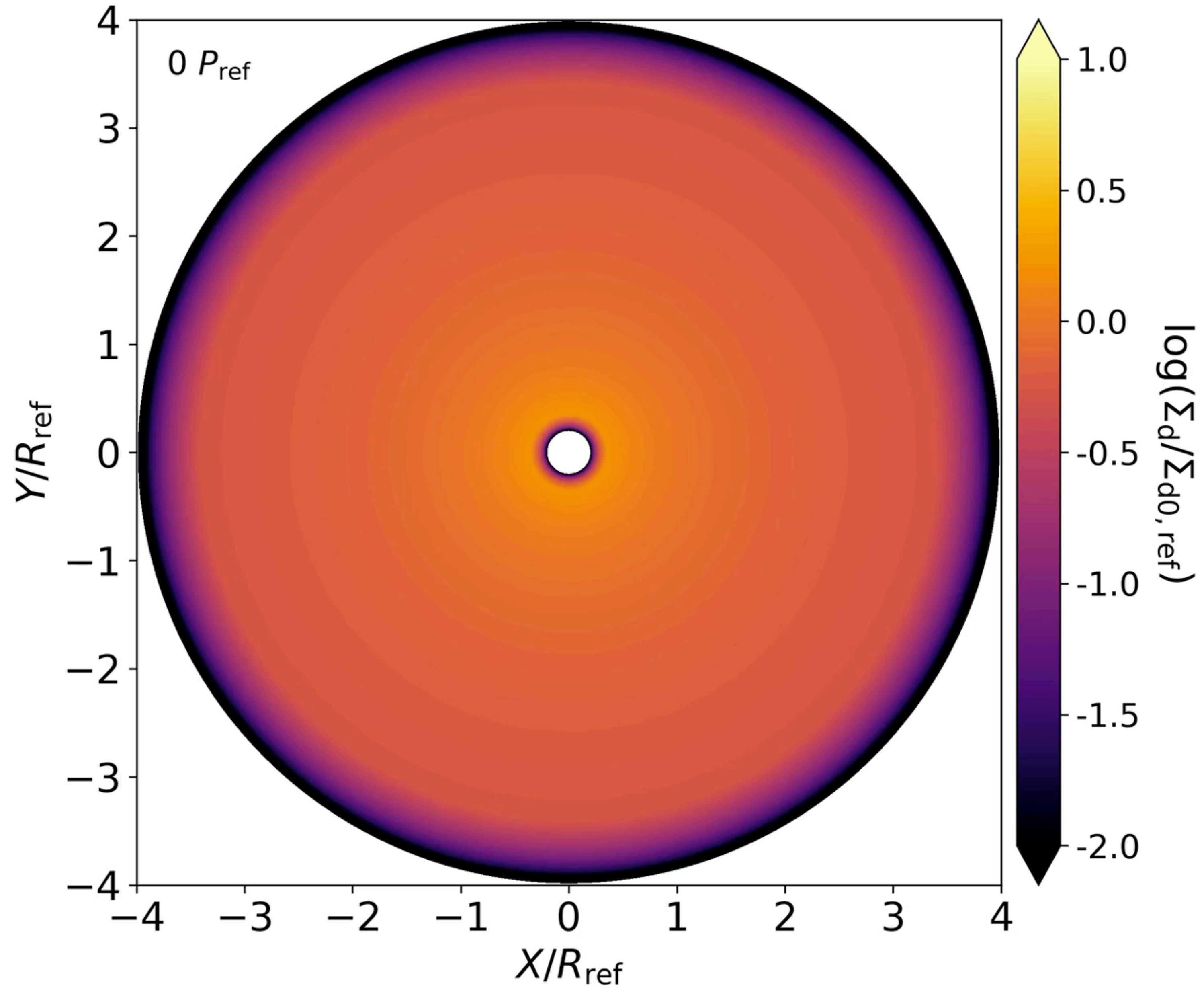


# Azimuthal drift streaming instability



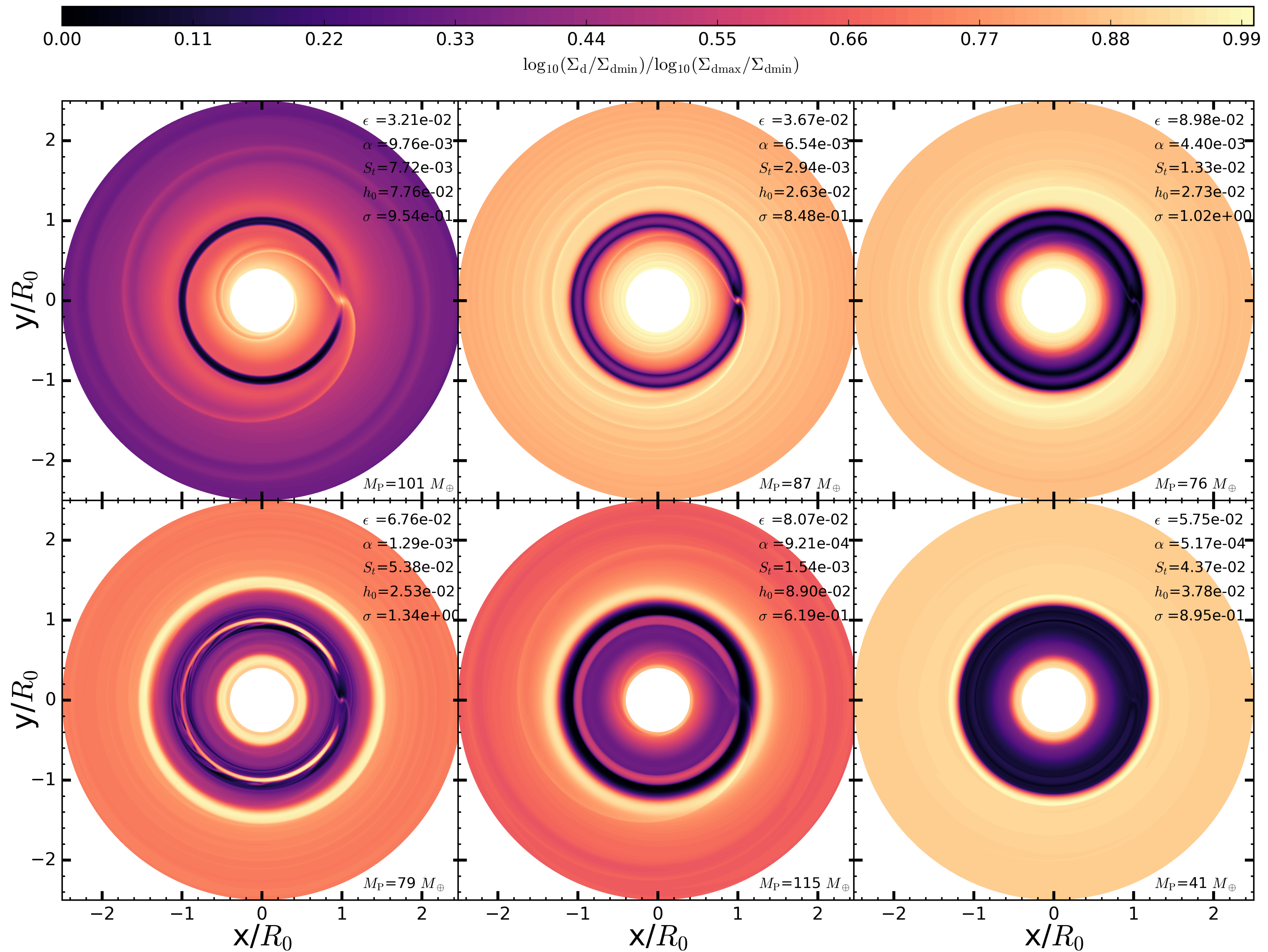


# Planets form somehow, so what's next?





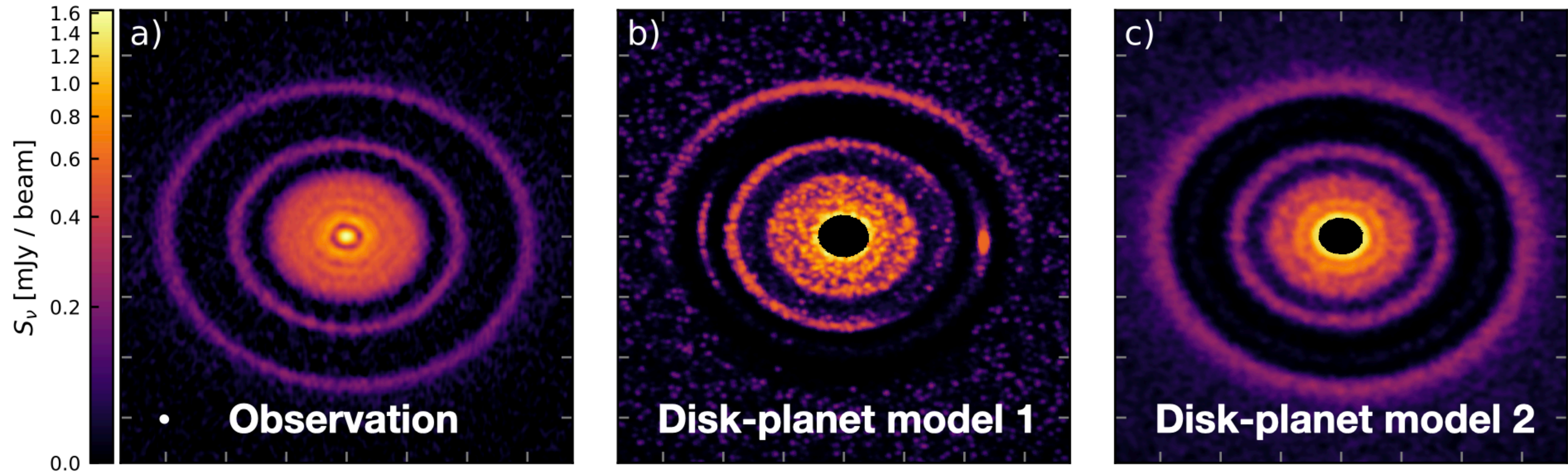
# Disk-planet morphology





# Detecting unseen planets via disk morphology

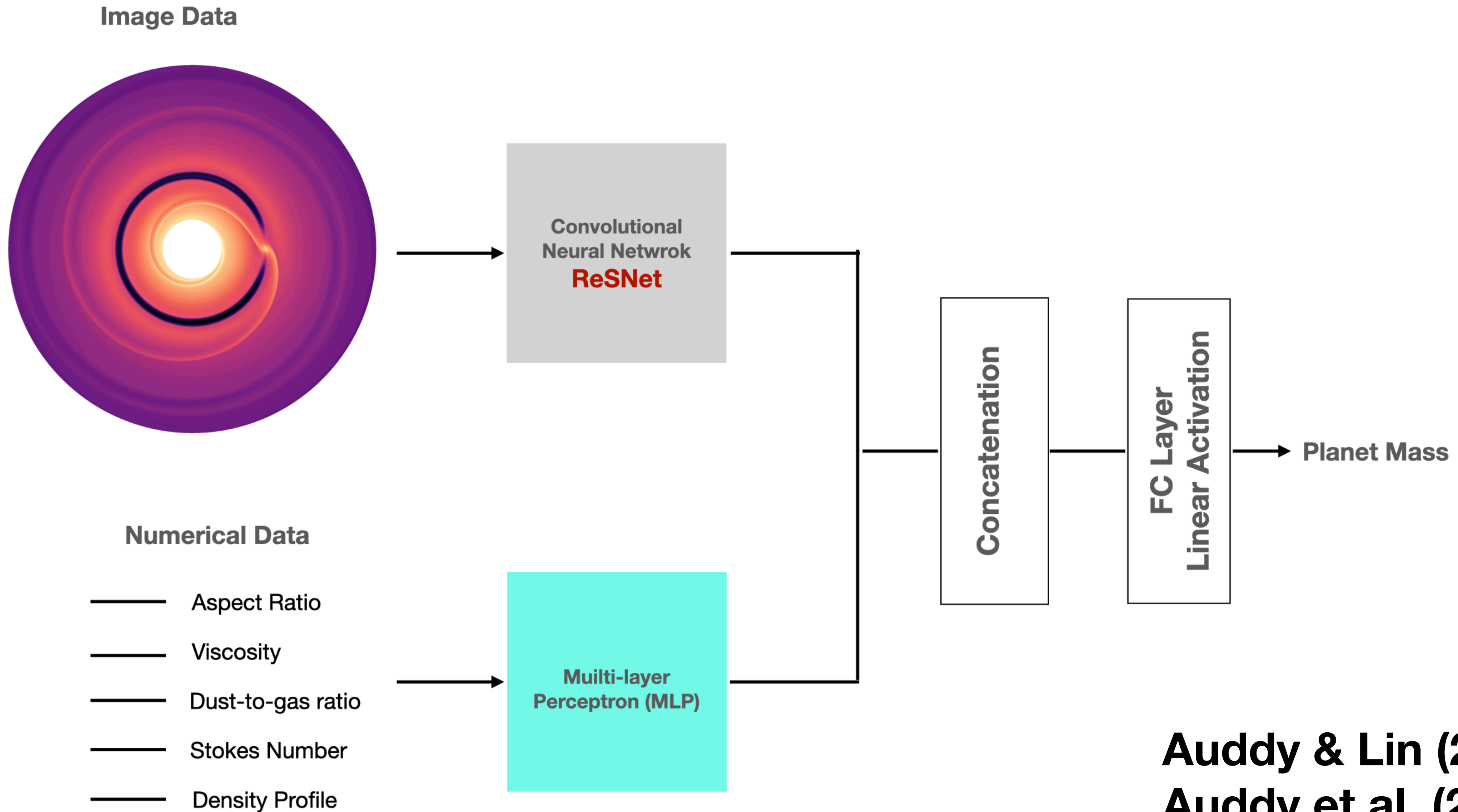
AS 209, DSHARP (Zhang et al. 2018)



But each observation requires many simulations



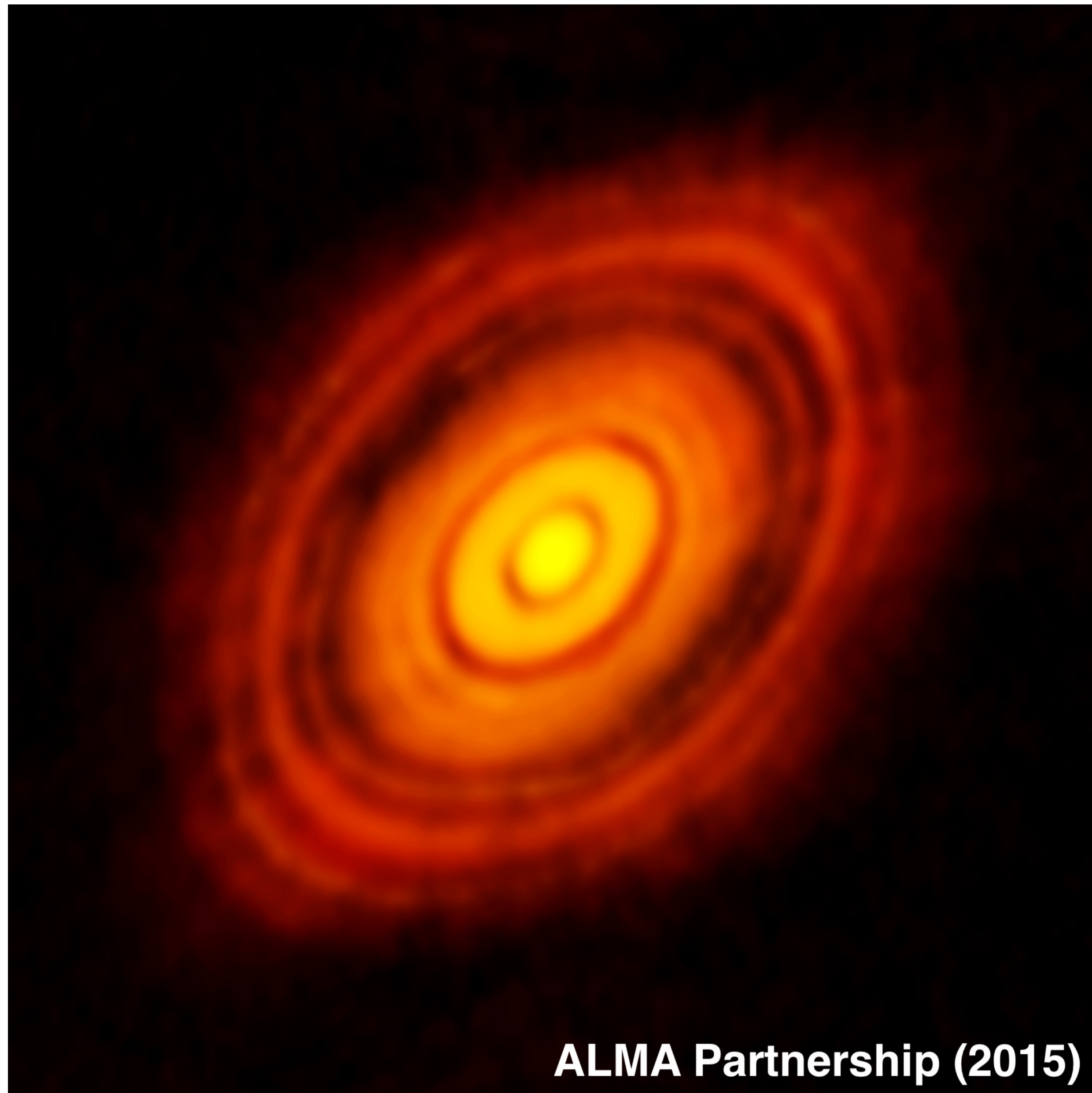
# Modeling planet gaps with artificial/convolutional NN



**Auddy & Lin (2020)**  
**Auddy et al. (2021)**  
**Auddy et al. (2022)**



# Estimating planet masses around HL Tau



- **Hydrodynamic simulations**

(Dong et al. 2015, Dipierro et al. 2015, Jin et al. 2016)

$$M_p = 0.2 - 0.35M_J, 0.17 - 0.27M_J, 0.2 - 0.55M_J$$

- **Disk-Planet Neural Network**

(Auddy & Lin, 2020)

$$M_p = 0.24M_J, 0.21M_J, 0.2M_J$$



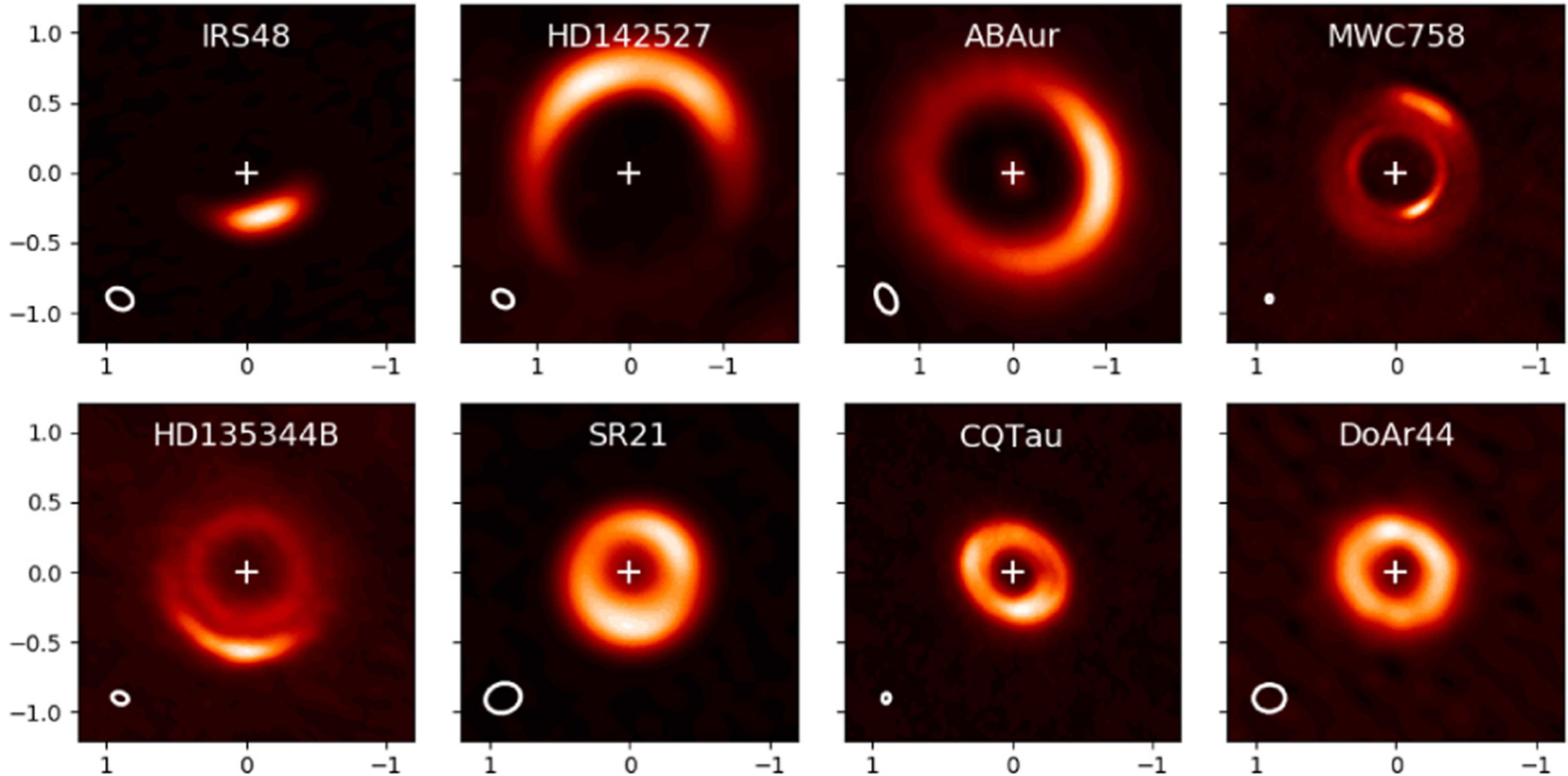
# Simulation caveats

- **Focus on axisymmetric structures**
- **Planet on fixed orbits**
- **2D disk**



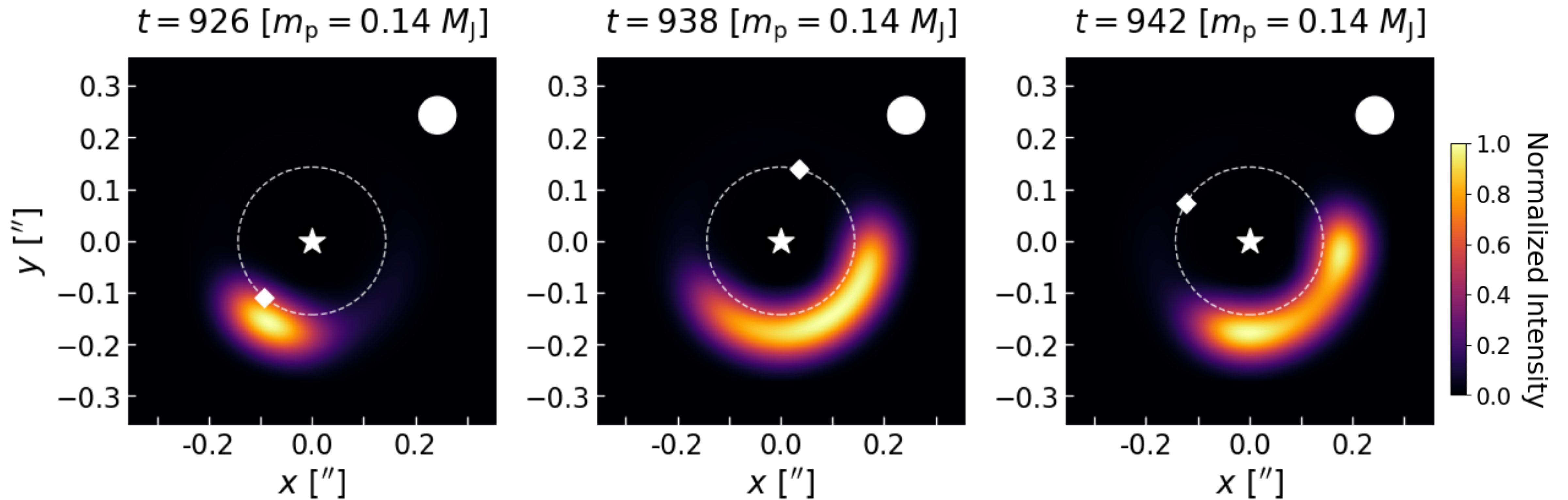
# Some observed disks are asymmetric

(van de Marel, et al. 2021)





# Can planets also explain them?



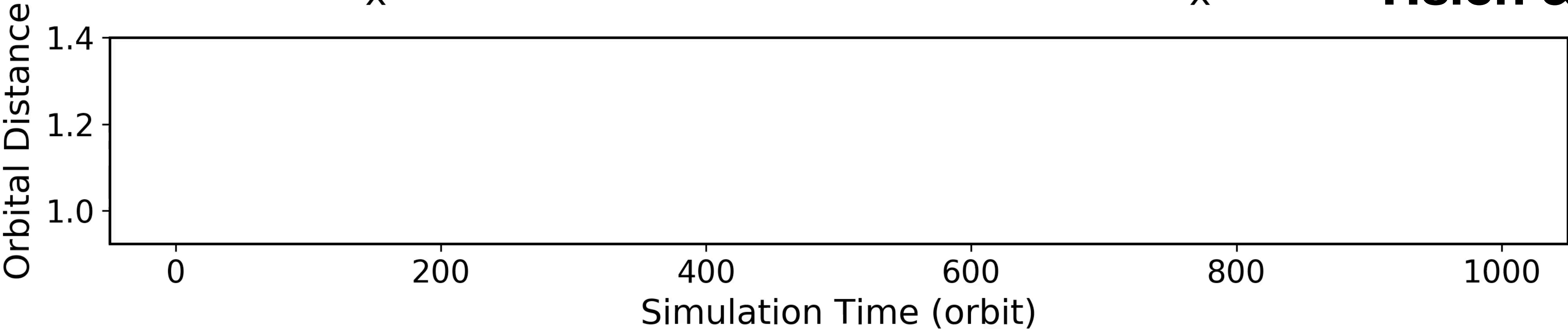
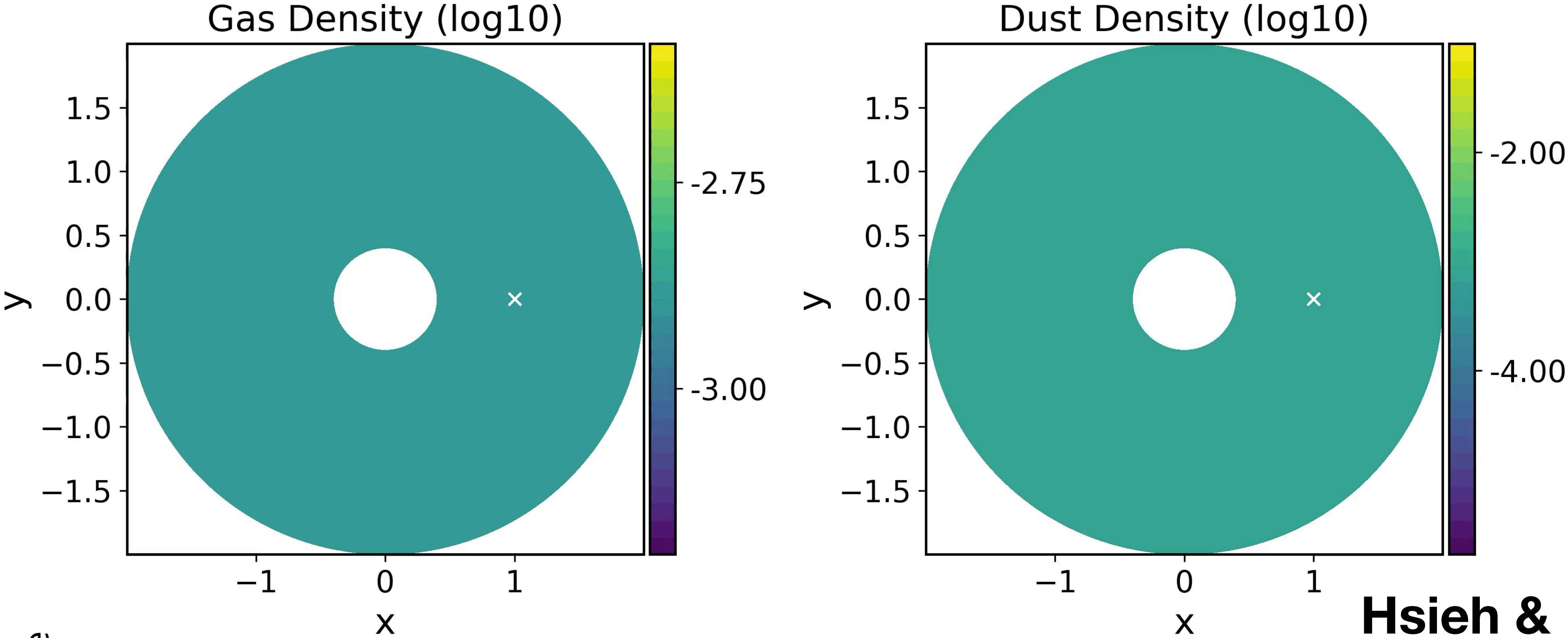
**Vortex formation due to the “Rossby wave” instability**

(Hammer, Lin, et al. 2021)



# Moving planets in dusty disks

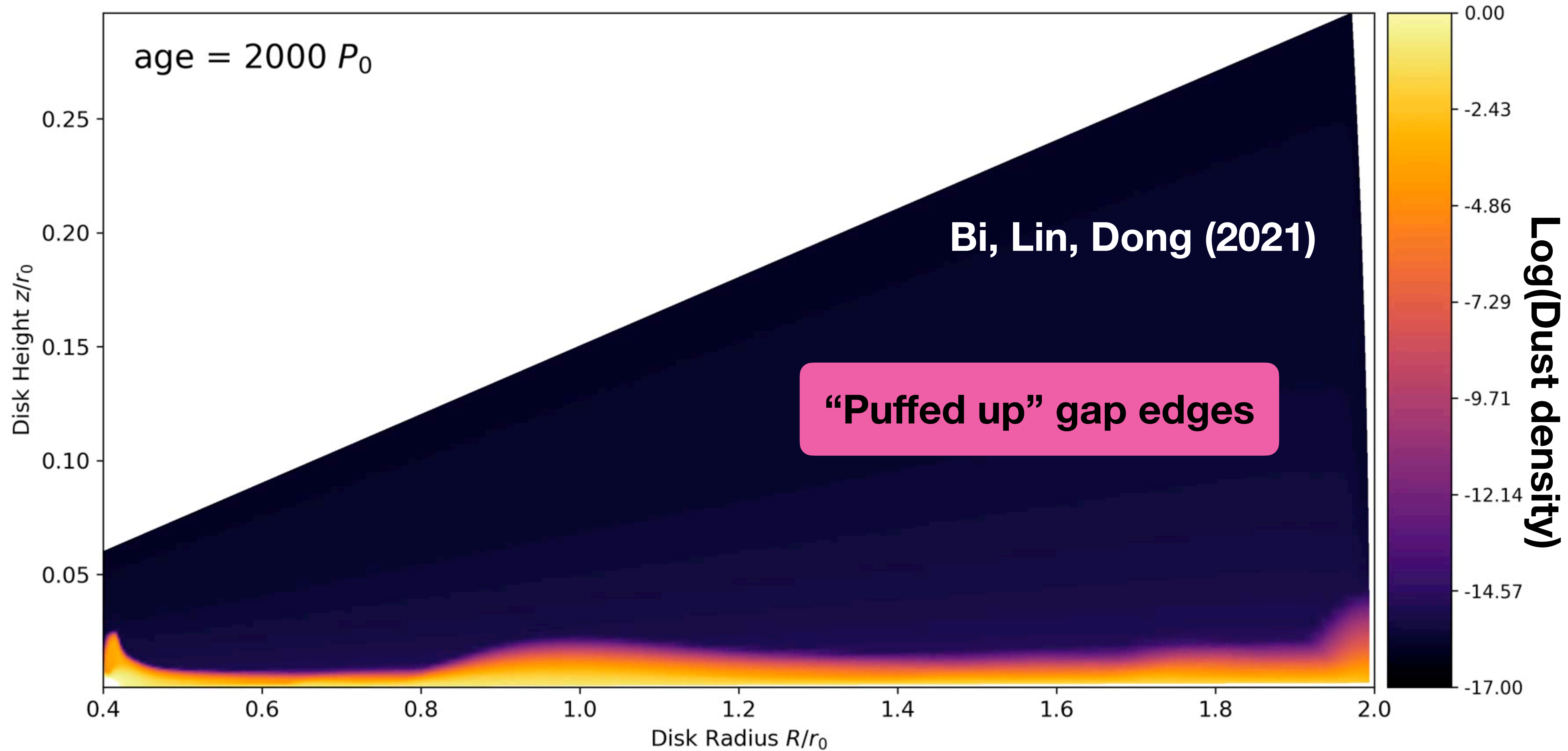
$Z = 0.5, St = 3 \times 10^{-2}, \quad 0 \text{ orbits}$



**Hsieh & Lin (2020)**

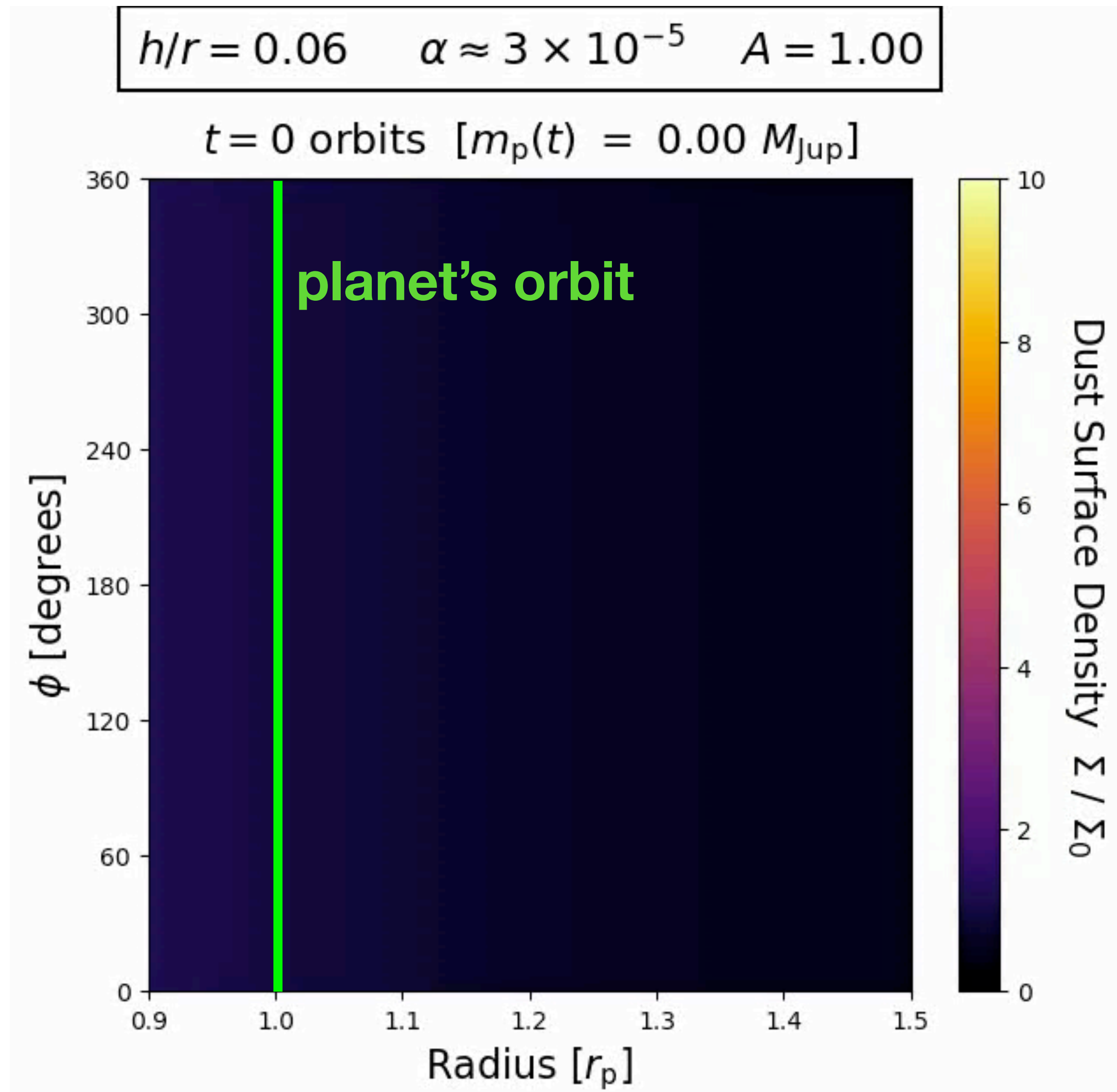


# Three-dimensional models





# Planet-induced, compact vortices in turbulent disks





# Summary

- **We are in a golden age for planetary sciences**
- **The streaming instability is the leading theory for planetesimal formation**
- **Modern disk models may challenge the SI or provide new pathways to clumping**
- **Planet-disk interaction can be used to reveal or rule out hidden planets in observations of protoplanetary disks**

**Thank you**  
 **@linminkai**



# 2022 NCTS ANNUAL THEORY MEETING: PARTICLE PHYSICS, STRING THEORY, COSMOLOGY, AND ASTROPHYSICS

<https://www.phys.ncts.ntu.edu.tw/act/actnews/2022-62215217/home>



**Dec. 14-16**  
**[astro: 15-16]**

